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# SustainDC: Benchmarking for Sustainable Data Center Control

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# Motivation

## Holistic Real-time Data Center Optimization for Sustainability with increased AI workloads

### Sustainability Goals

- Lower Carbon Emissions
- Lower Energy Consumption
- Lower Water Usage

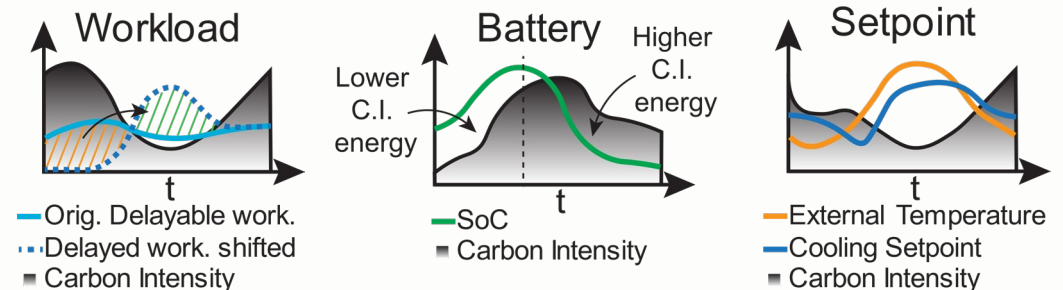
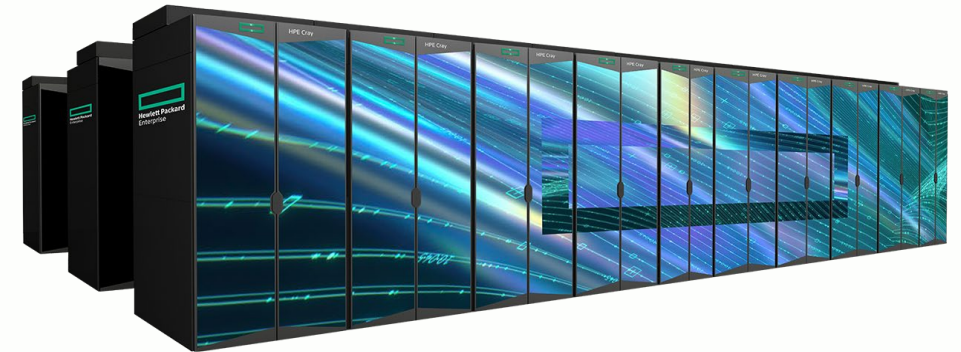
### Paradigm Shift in Energy Optimization with Multiple controls

- Optimize Cooling with IT Energy
- Schedule Flexible Loads
- Energy Storage
- Multi-agent Real-time Optimization



### Resolving Multiple External + Inter-Module Dependencies

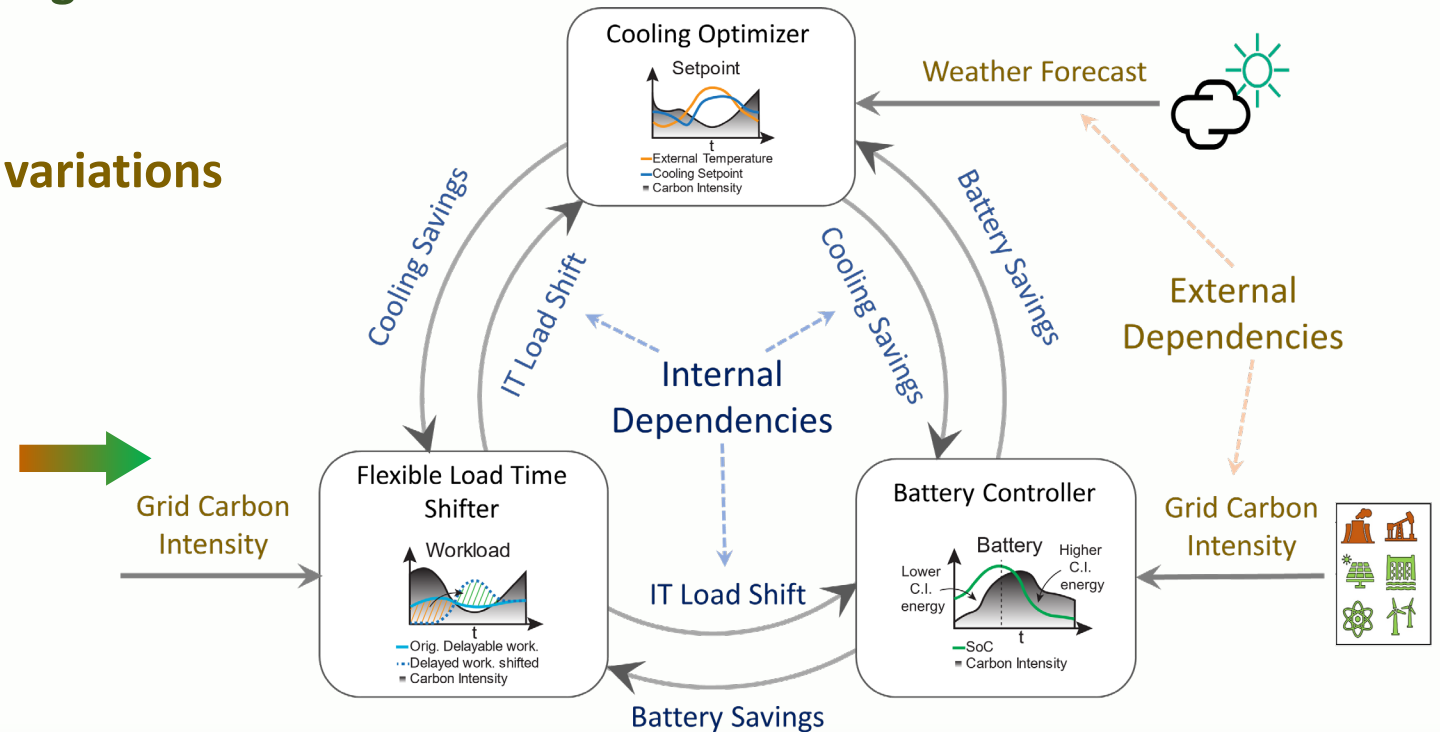
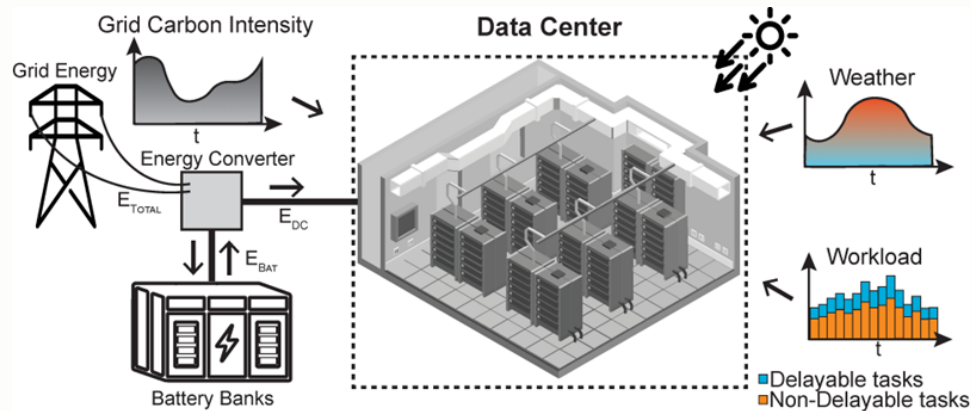
- Weather and Grid Carbon Intensity
- Dependency between Cooling, Scheduling, and Energy Storage



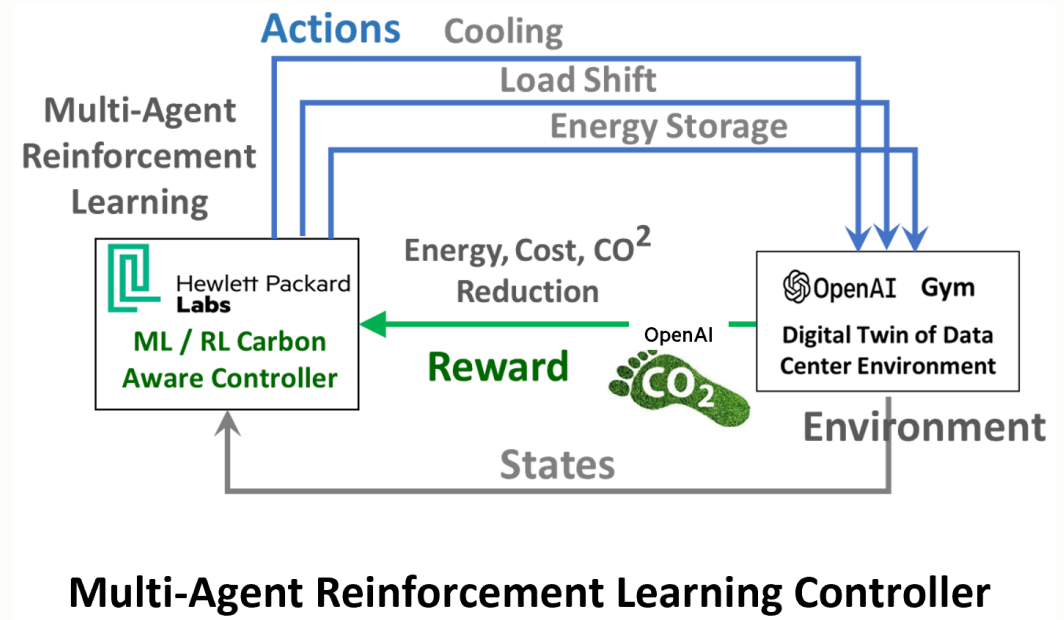
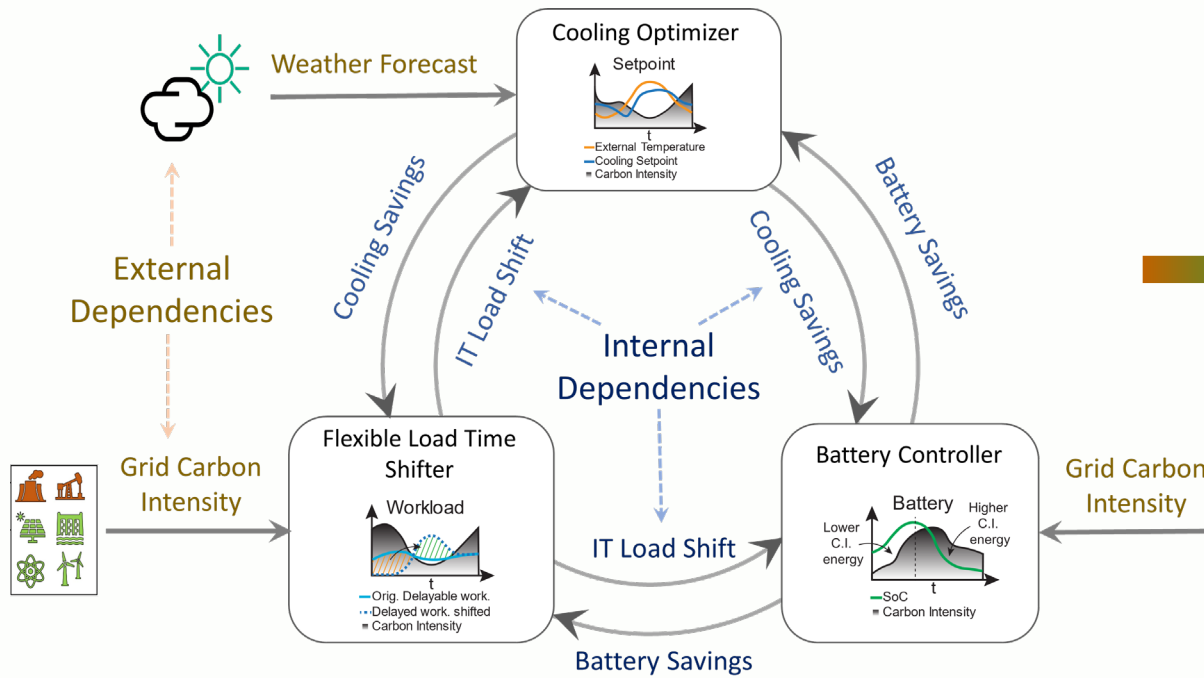
# Problem: Control Challenges

Holistic Optimization requires resolving multiple Internal and External Dependencies:

- Internal Dependencies
  - Cooling & IT Energy
  - Dynamic Flexible Workload Scheduling
  - Energy Storage
- External Dependencies
  - Weather and Grid Carbon Intensity variations



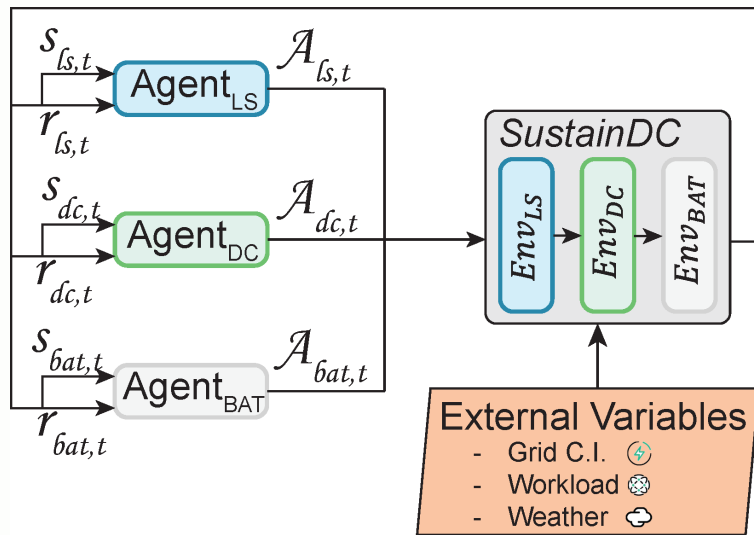
## Holistic Optimization requires Multi-agent Multi-objective Reinforcement Learning Control



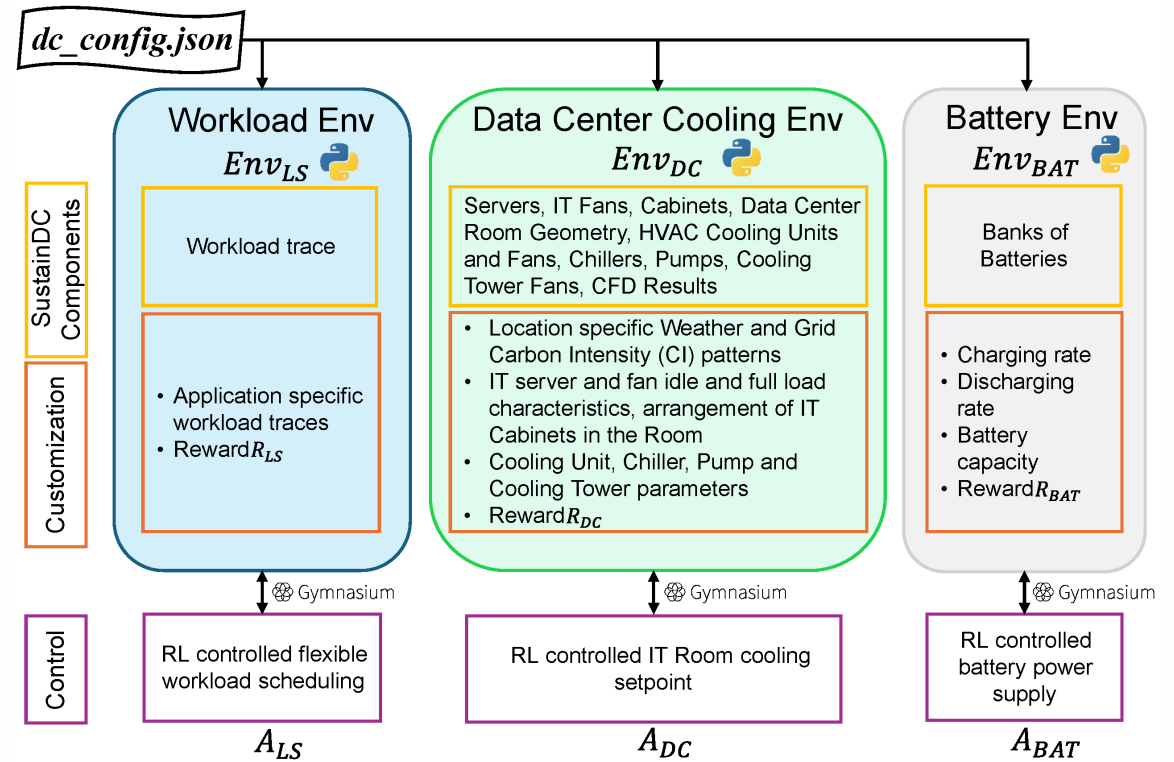
# SustainDC: Comprehensive RL Benchmark Environment

## Customizable RL Environment for Optimization

- Workload Environment
- Data Center Cooling Environment
- Battery Environment
- Customizable configurations



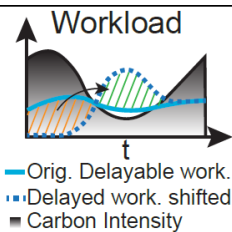
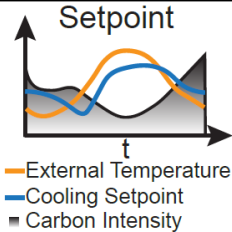
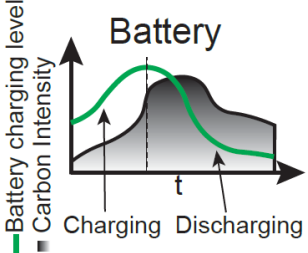
RL agents in SustainDC



SustainDC with the three main environments - Workload Env, Data Center Cooling Env, and Battery Env along with their customizable components and control actions

# 3 Interacting Control Problems & RL Agents

- Workload scheduling decisions
- Cooling setpoint optimization
- Battery charging/discharging strategy

Agent	Control Knob	Actions	Optimization Strategy	Figure
<b>Agent<sub>LS</sub></b>	Delay-tolerant workload scheduling	<ol style="list-style-type: none"> <li>0 Store Delayable Tasks</li> <li>1 Compute All Immediate Tasks</li> <li>2 Maximize Throughput</li> </ol>	Shift tasks to periods of lower CI/lower external temperature/other variables to reduce the $CFP$ .	 <p>— Orig. Delayable work. — Delayed work, shifted ■ Carbon Intensity</p>
<b>Agent<sub>DC</sub></b>	Cooling Setpoint	<ol style="list-style-type: none"> <li>0 Decrease Setpoint</li> <li>1 Maintain Setpoint</li> <li>2 Increase Setpoint</li> </ol>	Optimize cooling by adjusting cooling setpoints based on workload, external temperature, and CI.	 <p>— External Temperature — Cooling Setpoint ■ Carbon Intensity</p>
<b>Agent<sub>BAT</sub></b>	Battery energy supply/store	<ol style="list-style-type: none"> <li>0 Charge Battery</li> <li>1 Hold Energy</li> <li>2 Discharge Battery</li> </ol>	Store energy when CI/temperature/workload/other is low and use stored energy when is high to reduce $CFP$ .	 <p>— Battery charging level ■ Carbon Intensity Charging Discharging</p>

$$Agent_{LS} : (SC_t \times CI_t \times D_t \times B_t) \rightarrow A_{ls,t}$$

$$Agent_{DC} : (SC_t \times t_{db} \times t_{room} \times E_{hvac} \times E_{it} \times CI_t) \rightarrow A_{dc,t}$$

$$Agent_{BAT} : (SC_t \times Bat\_SoC \times CI_t) \rightarrow A_{bat,t}$$

$$Env_{LS} : (B_t \times A_{ls,t}) \rightarrow \hat{B}_t$$

$$Env_{DC} : (\hat{B}_t \times t_{db} \times t_{room} \times A_{dc,t}) \rightarrow (E_{hvac}, E_{it})$$

$$Env_{BAT} : (Bat\_SoC \times A_{bat,t}) \rightarrow (Bat\_SoC, E_{bat})$$

$$CFP_t = (E_{hvac} + E_{it} + E_{bat}) \times CI_t$$

$$(\theta_{LS}, \theta_{DC}, \theta_{BAT}) = \underset{t=0}{\operatorname{argmin}} \left( \sum_{t=0}^{t=N} CFP_t \right)$$

$$(r_{LS}, r_{DC}, r_{BAT}) = \left( -(CFP_t + LS_{penalty}), -(E_{hvac,t} + E_{it,t}), -(CFP_t) \right)$$

$$R_{LS} = \alpha * r_{LS} + (1 - \alpha)/2 * r_{DC} + (1 - \alpha)/2 * r_{BAT}$$

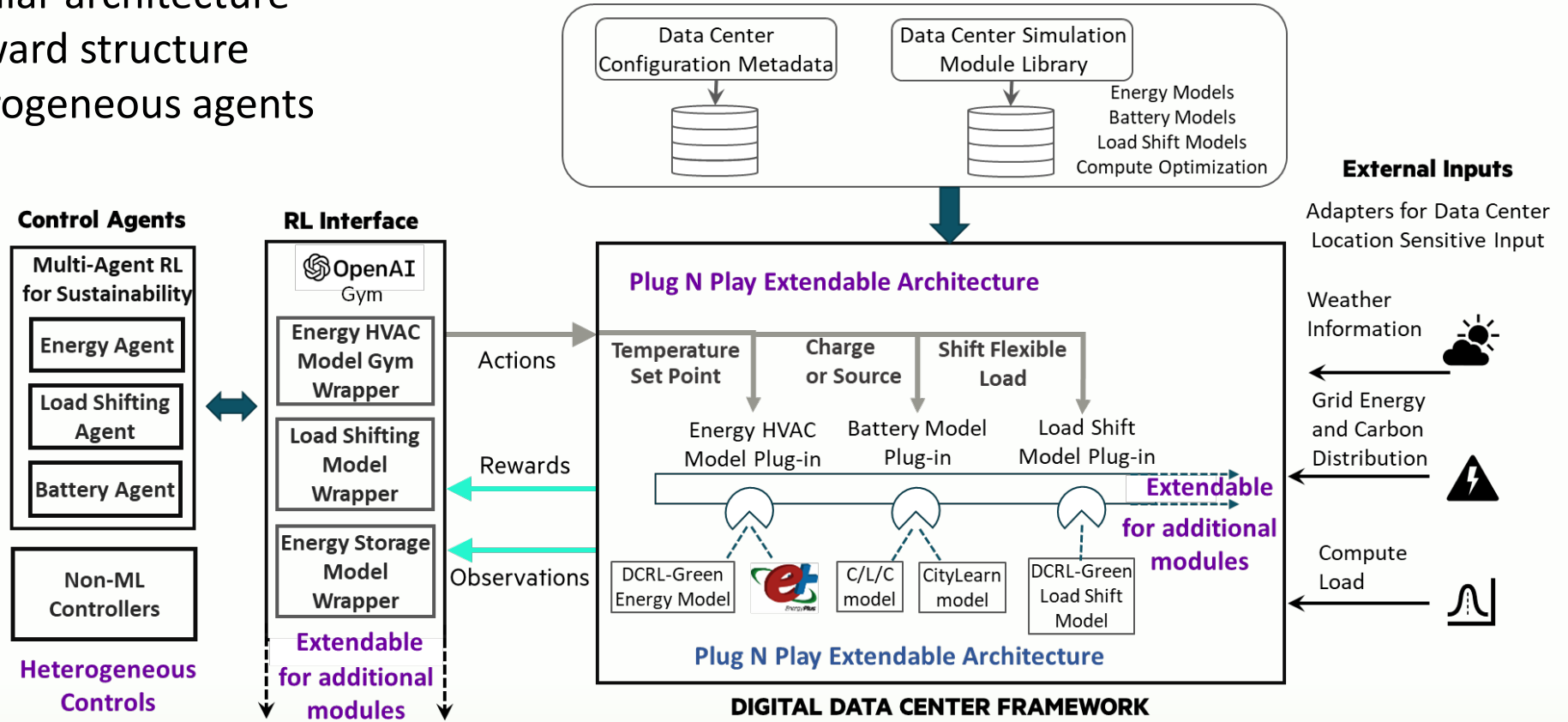
$$R_{DC} = (1 - \alpha)/2 * r_{LS} + \alpha * r_{DC} + (1 - \alpha)/2 * r_{BAT}$$

$$R_{BAT} = (1 - \alpha)/2 * r_{LS} + (1 - \alpha)/2 * r_{DC} + \alpha * r_{BAT}$$

# SustainDC Extendable Plug-in Framework

## Framework for RL and other ML control for Data Centers

- Plug-and-play design
- Extendable modular architecture
- Customizable reward structure
- Support for heterogeneous agents



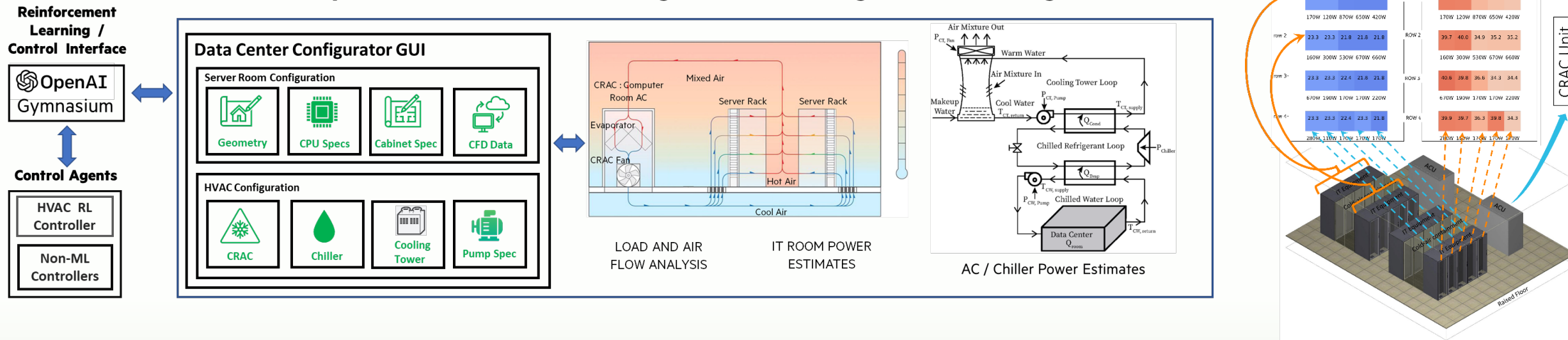
# Custom Data Center Thermal Model

Current version support HVAC cooling

Will be extended to Liquid Cooling

- ❑ Modular, scalable, and highly customizable Data Center model suitable for testing a broad range of DC design parameters.
- ❑ Offers a unique Python-based platform that can be easily adapted for fast prototyping and control optimization by the energy / ML community.
- ❑ Serves as a powerful tool for the ML community to test ML / Reinforcement Learning optimization for DC models aimed at sustainability.

## PyDCM: HPE DATA CENTER Configurable Thermal Digital Twin Modeling

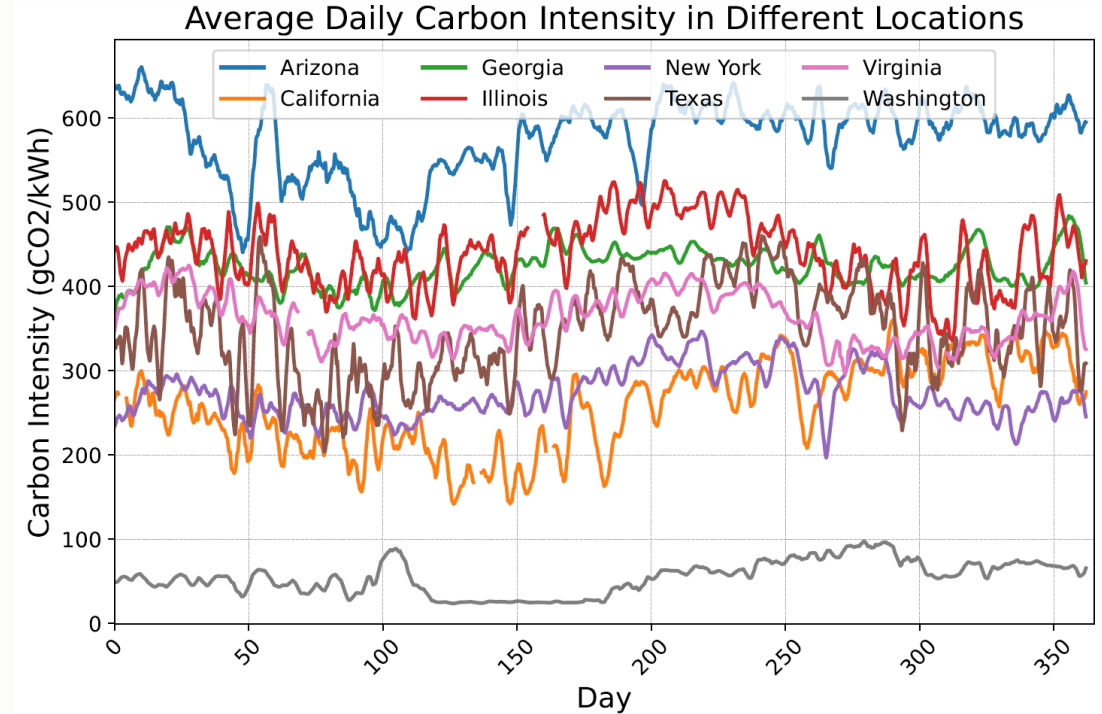




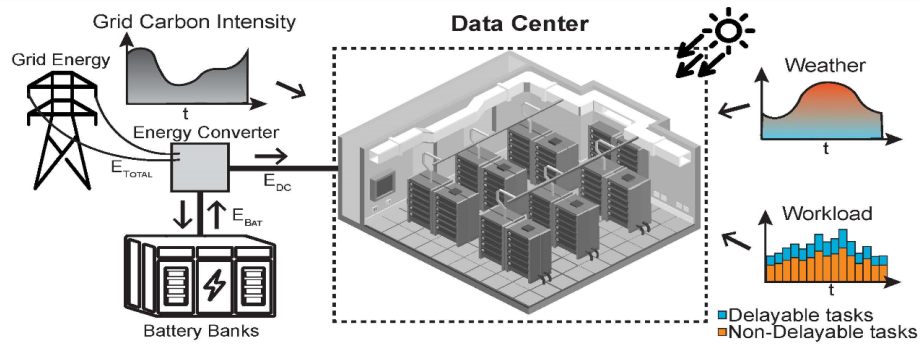
# Experiments: Data Center Locations with variations

Location	Typical Weather	Carbon Emissions
Arizona	Hot, dry summers; mild winters	High avg CI, High variation
California	Mild, Mediterranean climate	Medium avg CI, Medium variation
Georgia	Hot, humid summers; mild winters	High avg CI, Medium variation
Illinois	Cold winters; hot, humid summers	High avg CI, Medium variation
New York	Cold winters; hot, humid summers	Medium avg CI, Medium variation
Texas	Hot summers; mild winters	Medium avg CI, High variation
Virginia	Mild climate, seasonal variations	Medium avg CI, Medium variation
Washington	Mild, temperate climate; wet winters	Low avg CI, Low variation

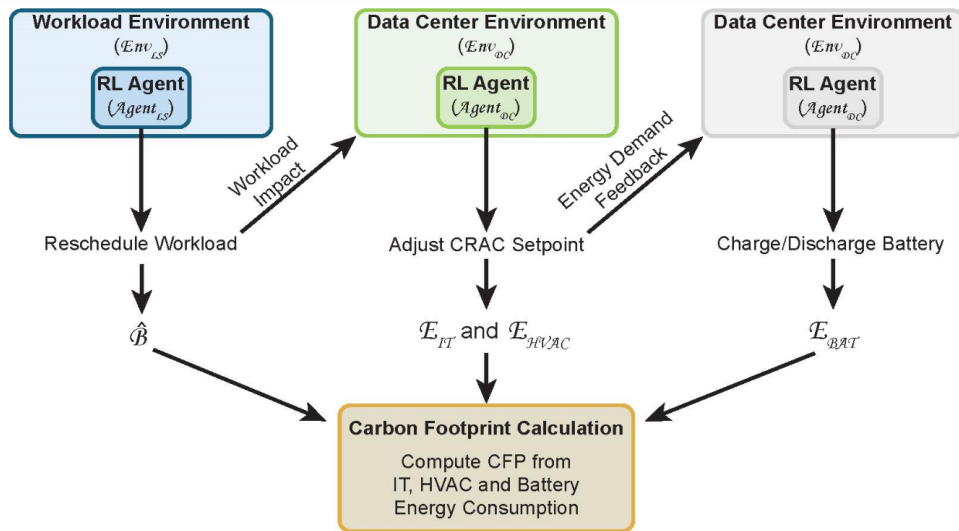
Table 7: Summary of Selected Locations with Typical Weather and Carbon Emissions Characteristics



# Results: Support for various Multi-Agent RL algorithms



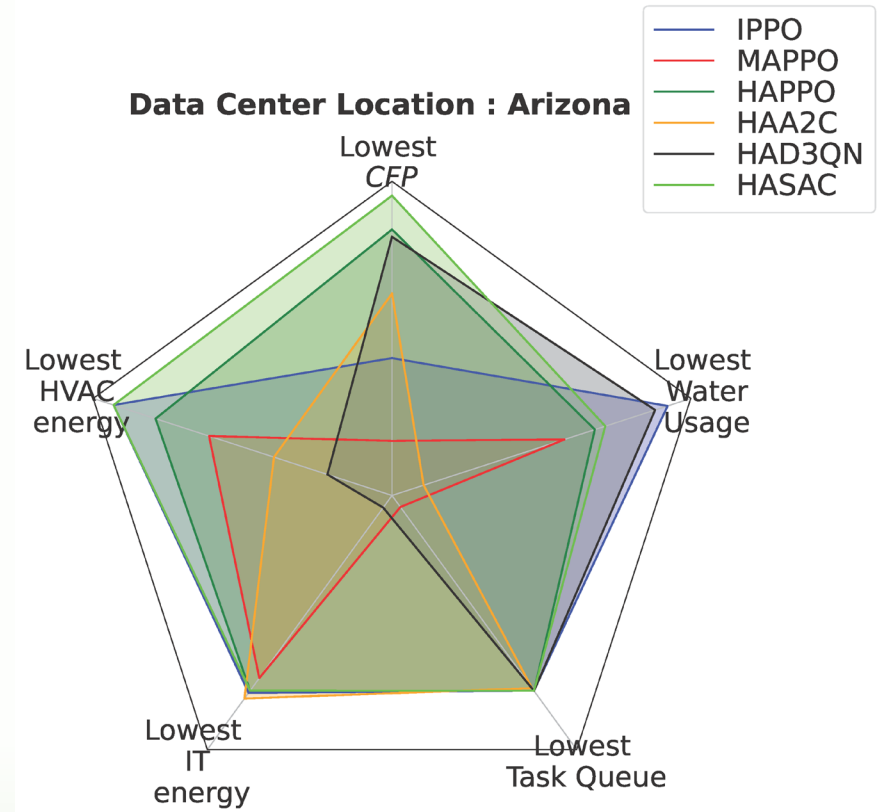
Physical System



Digital System

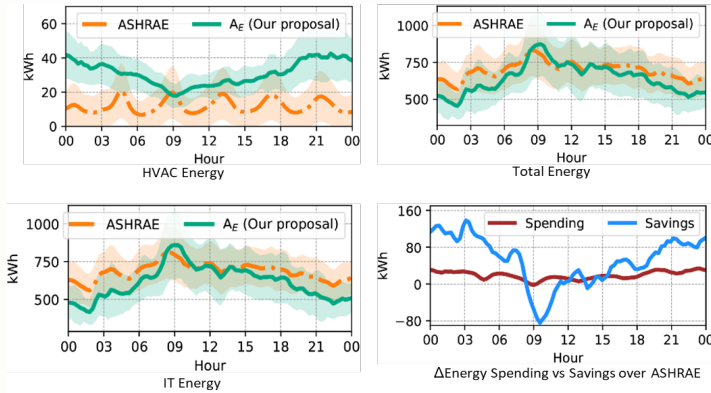
- Improvements in energy efficiency
- Carbon footprint reduction
- Lowering of water usage
- Latency of execution

Data Center Location : Arizona



# Intuition on Optimization by various controls

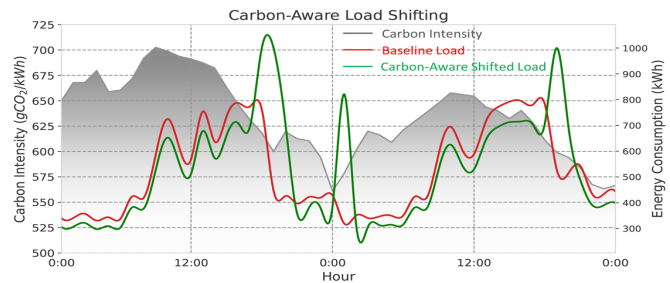
## HVAC + IT Energy Optimization



### HVAC Cooling Optimization over ASHRAE Controller

Investing more in cooling energy can significantly reduce overall IT energy consumption.

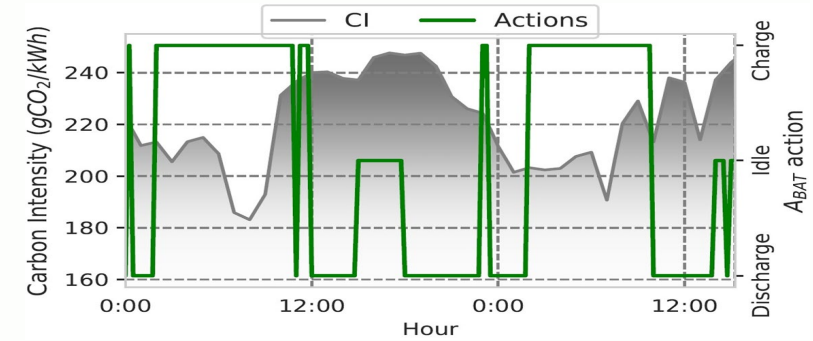
## Load Shift Optimization



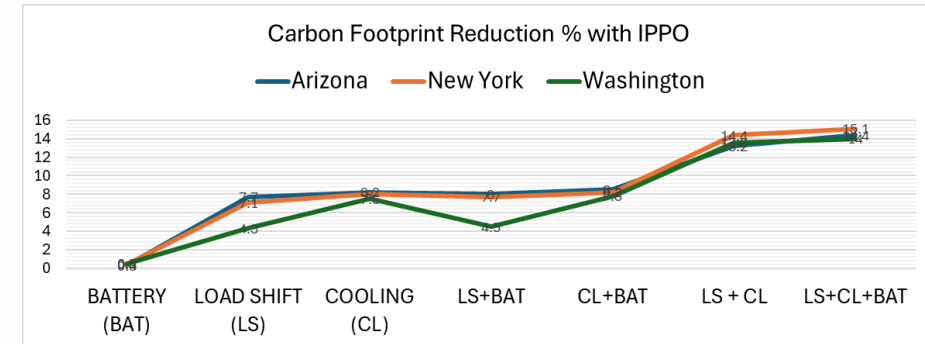
### Carbon Intensity Aware Flexible Load Shifting

Flexible load is shifted to times when the grid's CI is lower. It's not perfect because predicting the CI can be challenging.

## Energy Storage Optimization



The **Battery** agent stores energy when the grid's CI is low and uses it when its high.

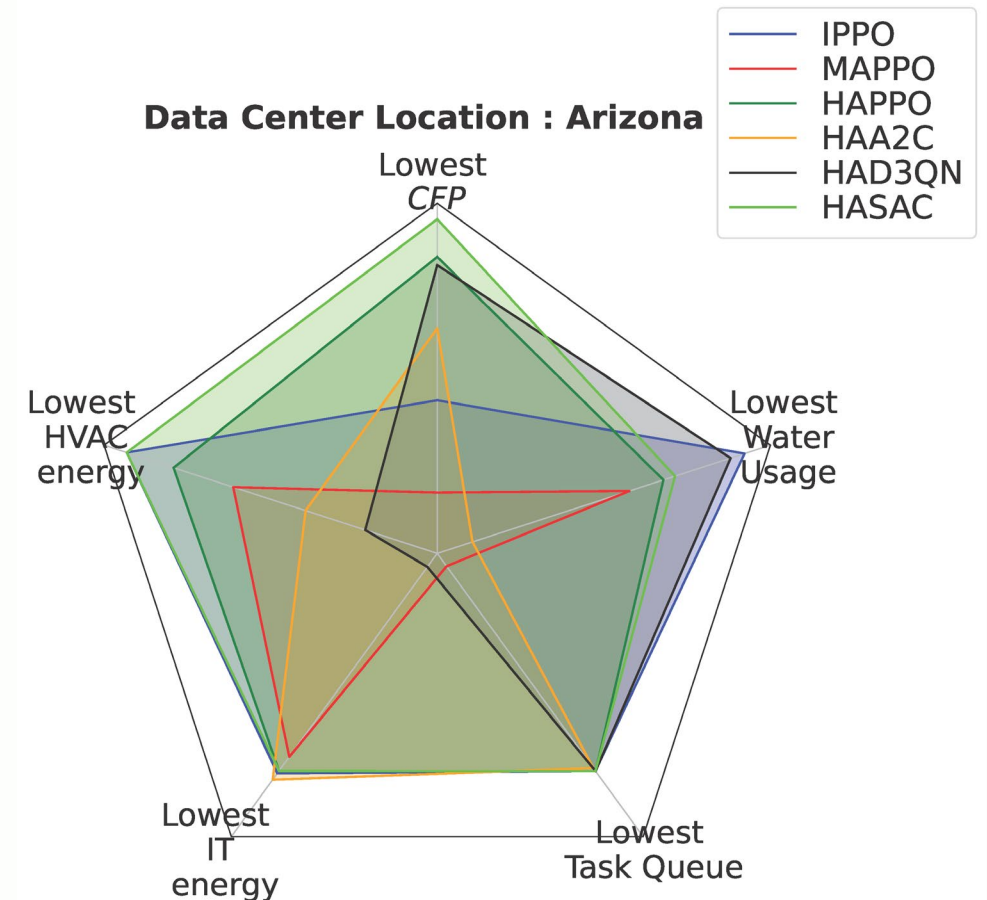


## Cumulative Effect of Multiple Controls

# Results: Support for various RL algorithms (Arizona)

Table 6: Multiagent RL framework evaluated on SustainDC for a data center located in Arizona (Average result over 5 runs)

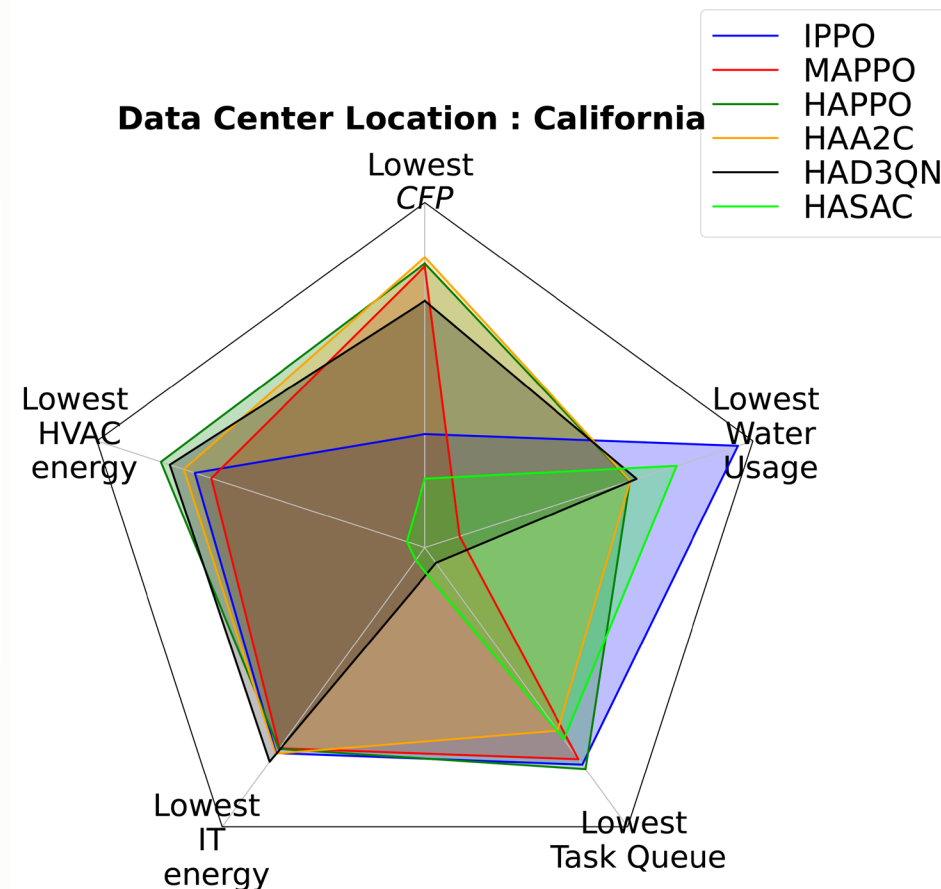
Evaluation Metric →	<i>CFP</i> (kgCO <sub>2</sub> )	HVAC Energy (kwh)	IT Energy (kwh)	Task Queue	Water Usage (litre)
Algorithm ↓					
IPPO	408.7	380.8	934.8	0.60	30251.6
MAPPO	410.8	383.3	947.5	502.4	31289.6
HAPPO	405.5	381.9	936.6	0.26	30983.7
HAA2C	407.1	385.0	929.9	7.54	32706.3
HAD3QN	405.6	386.4	1094.0	0.0051	30377.3
HASAC	404.6	380.8	936.7	0.54	30878.7



# Results: Support for various RL algorithms (California)

Table 5: Multiagent RL framework evaluated on SustainDC for a data center located in California (Average result over 5 runs)

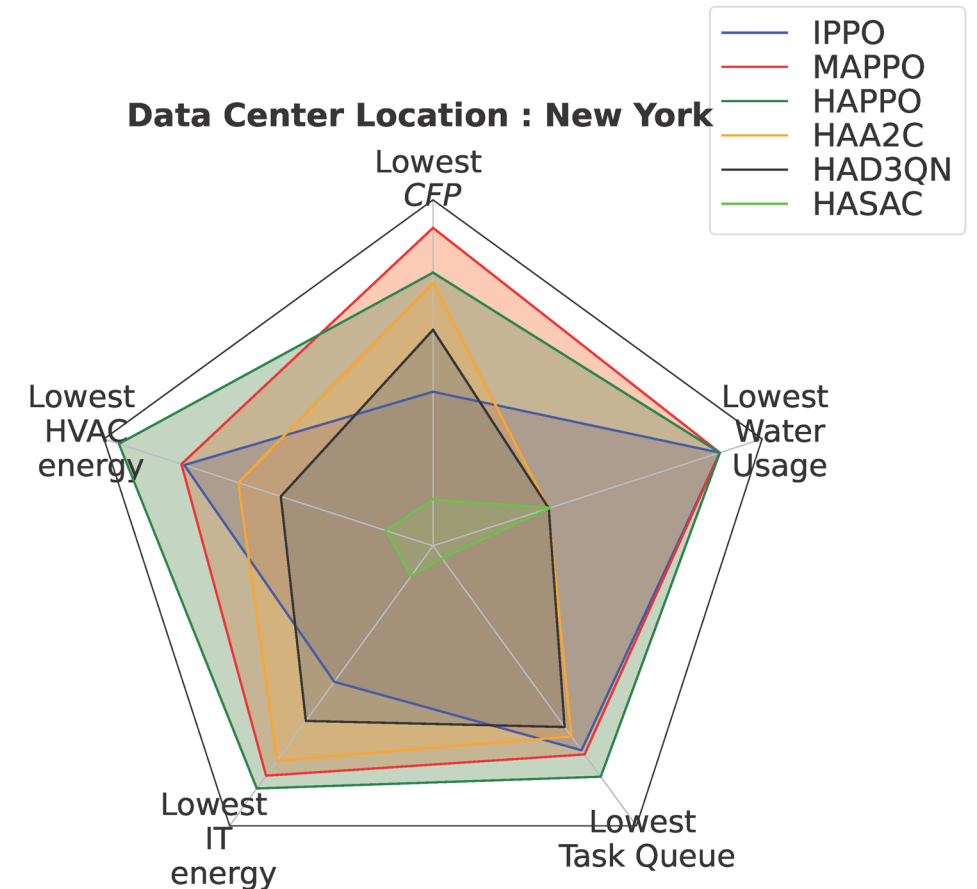
Evaluation Metric → Algorithm ↓	<i>CFP</i> (kgCO <sub>2</sub> )	HVAC Energy (kwh)	IT Energy (kwh)	Task Queue	Water Usage (litre)
IPPO	170.0	384.3	933.8	12.9	28141.4
MAPPO	159.3	388.2	936.1	19.5	33289.3
HAPPO	159.1	376.3	935.8	74.9	30141.8
HAA2C	158.7	381.7	933.5	54.1	30135.4
HAD3QN	161.5	378.4	929.6	25.8	30017.4
HASAC	172.9	434.4	1027.0	43.8	29277.5



# Results: Support for various RL algorithms (New York)

Table 3: Multiagent RL framework evaluated on SustainDC for a data center located in New York (Average result over 5 runs)

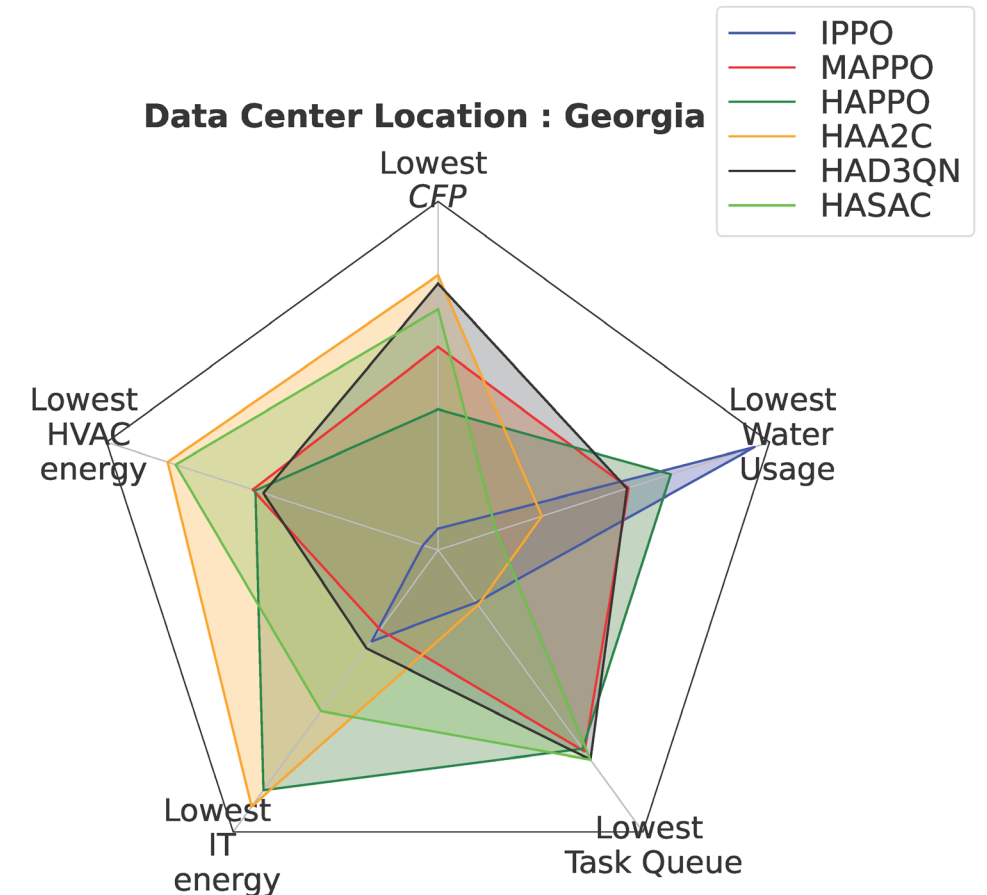
Evaluation Metric → Algorithm ↓	<i>CFP</i> (kgCO2)	HVAC Energy (kwh)	IT Energy (kwh)	Task Queue	Water Usage (litre)
IPPO	179.6	417.1	945.9	20.9	446.2
MAPPO	176.4	417.0	932.7	19.6	446.2
HAPPO	177.3	414.8	930.9	12.8	441.9
HAA2C	177.5	419.0	934.8	25.2	14977.1
HAD3QN	178.4	420.5	940.4	28.0	14950.9
HASAC	181.7	424.2	960.8	79.7	14842.4



# Results: Support for various RL algorithms (Georgia)

Table 4: Multiagent RL framework evaluated on SustainDC for a data center located in Georgia (Average result over 5 runs)

Evaluation Metric → Algorithm ↓	<i>CFP</i> (kgCO2)	HVAC Energy (kwh)	IT Energy (kwh)	Task Queue	Water Usage (litre)
IPPO	265.4	376.7	935.4	6.8	31773.5
MAPPO	263.4	370.3	935.9	0.35	31949.9
HAPPO	264.1	370.4	929.0	0.47	31890.7
HAA2C	262.7	367.1	928.3	6.6	32071.5
HAD3QN	262.8	370.7	935.1	0.0	31952.2
HASAC	263.0	367.4	932.4	0.0	32135.7

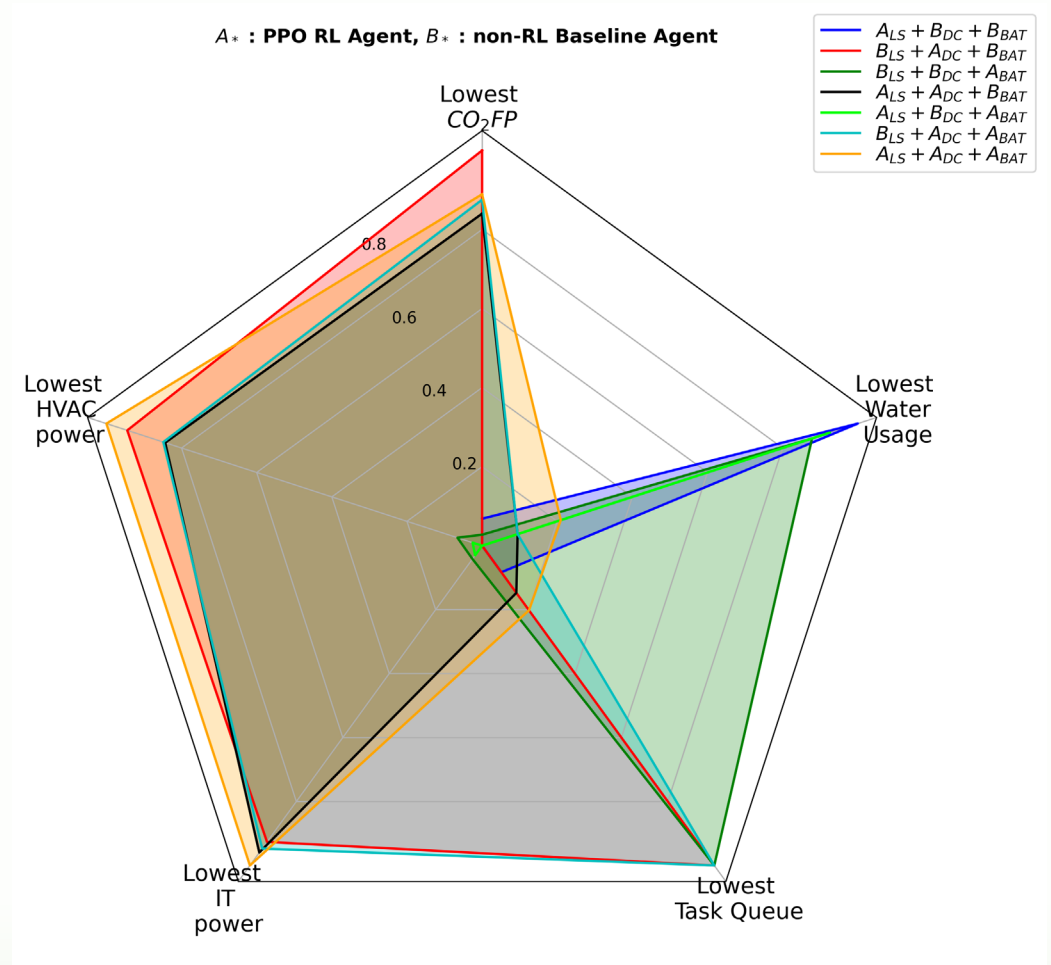


# Results: Benefits of Multi-Agent Control

- Mixture of RL and Baseline agents
- Improvements in energy efficiency
- Carbon footprint reduction
- Lowering of water usage
- Latency of execution

Table 1: Performance with respect to evaluation metrics on single and multiple RL agent baselines.  
 $A_*$  : RL agent  $B_*$  : non - RL baseline agent

Evaluation Metric → Algorithm ↓	$CFP$ (kgCO2)	HVAC Energy (kwh)	IT Energy (kwh)	Task Queue	Water Usage (litre)
1: $A_{LS} + B_{DC} + B_{BAT}$	167.61	391.6	1033.8	0.52	10433.46
2: $B_{LS} + A_{DC} + B_{BAT}$	153.56	372.9	944.5	0.0	10930.77
3: $B_{LS} + B_{DC} + A_{BAT}$	168.22	390.3	1029.8	0.0	10493.95
4: $A_{LS} + A_{DC} + B_{BAT}$	155.97	374.9	941.3	0.48	10883.73
5: $A_{LS} + B_{DC} + A_{BAT}$	168.64	391.1	1030.9	0.56	10470.43
6: $B_{LS} + A_{DC} + A_{BAT}$	155.44	374.8	942.5	0	10883.73
7: $A_{LS} + A_{DC} + A_{BAT}$	155.23	371.8	937.4	0.45	10826.61

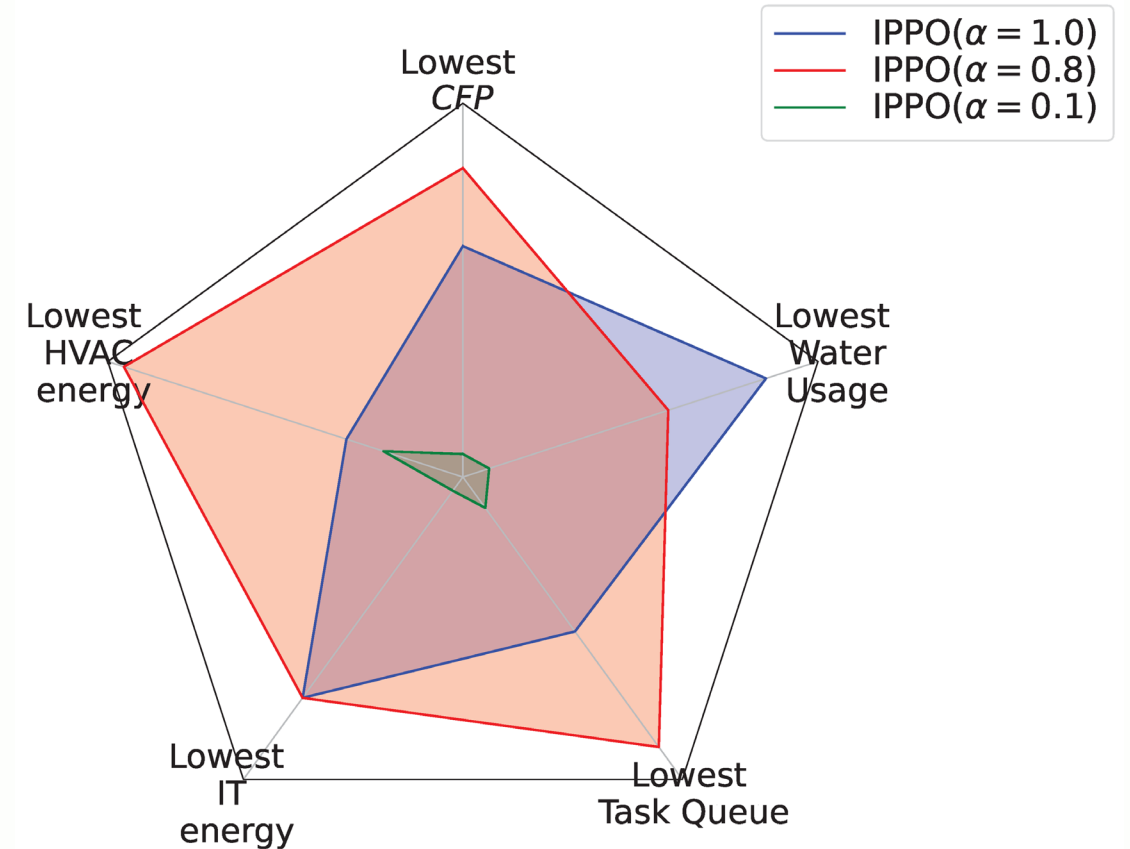




# Results: Collaborative multi-agent hyperparameter tuning

Table 2: IPPO evaluated on SustainDC with different values of collaborative reward coefficient  $\alpha$  (Average result over 12 runs)

Evaluation Metric $\rightarrow$	$CFP$ (kgCO <sub>2</sub> )	HVAC Energy (kwh)	IT Energy (kwh)	Task Queue	Water Usage (litre)
Algorithm $\downarrow$					
IPPO( $\alpha = 1.0$ )	176.3	415.2	932.8	12.5	445.6
IPPO( $\alpha = 0.8$ )	176.2	414.6	932.8	9.5	445.8
IPPO( $\alpha = 0.1$ )	176.4	415.3	932.9	15.7	446.2



$$R_{LS} = \alpha * r_{LS} + (1 - \alpha)/2 * r_{DC} + (1 - \alpha)/2 * r_{BAT}$$

$$R_{DC} = (1 - \alpha)/2 * r_{LS} + \alpha * r_{DC} + (1 - \alpha)/2 * r_{BAT}$$

$$R_{BAT} = (1 - \alpha)/2 * r_{LS} + (1 - \alpha)/2 * r_{DC} + \alpha * r_{BAT}$$

# Heterogeneous Multi-objective RL Sustainability Benchmark

This is the first comprehensive Multi-agent Multi-objective RL Benchmark for evaluating RL Algorithms for Sustainability with multiple Internal and External Dependencies



<https://github.com/HewlettPackard/dc-rl>

# Multi-agent Multi-objective RL Sustainability Benchmark

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main 7 Branches 3 Tags

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antonio-guillenperez working on render method ✓ feb013b · 5 days ago 399 Commits

data	improved battery model, added RBC for battery, i...	6 months ago
docs	docs update with colab	5 months ago
envs	working on render method	5 days ago
harl	working on render method	5 days ago
media	Add files via upload	5 months ago
sphinx	docs update with colab	5 months ago
trained_models/sustaindc	refactor with curr name	5 months ago
utils	bug fix; courtesy: anderson b06501069@ntu.edu...	last month
.gitignore	working on render method	5 days ago
CODE_OF_CONDUCT.md	Add files via upload	5 months ago
LICENSE	Update LICENSE	5 months ago
README.md	Update README.md	3 months ago
SECURITY.md	Create SECURITY.md	5 months ago
eval_sustaindc.py	refactor with curr name	5 months ago
evaluate_harl.py	working on render method	5 days ago

## SustainDC 2.2.1 documentation

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## SustainDC

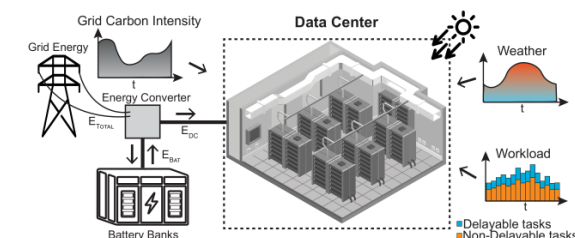
SustainDC is a set of Python environments for benchmarking multi-agent reinforcement learning (MARL) algorithms in data centers (DC). It focuses on sustainable DC operations, including workload scheduling, cooling optimization, and auxiliary battery management.

This page contains the documentation for the GitHub repository for the paper "SustainDC: Benchmarking for Sustainable Data Center Control"

**Disclaimer:** This work builds on our previous research and extends the methodologies and insights gained from our previous work. The original code, referred to as **DCRL-Green**, can be found in the [legacy branch](#) of this repository. The current repository, **SustainDC**, represents an advanced iteration of DCRL-Green, incorporating enhanced features and improved benchmarking capabilities. This evolution reflects our ongoing commitment to advancing sustainable data center control. Consequently, the repository name remains `dc-r1` to maintain continuity with our previous work.

SustainDC uses OpenAI Gym standard and supports modeling and control of three different types of problems:

- Carbon-aware flexible computational load shifting
- Data center HVAC cooling energy optimization
- Carbon-aware battery auxiliary supply



## Demo of SustainDC

A demo of SustainDC is given in the Google Colab notebook below

[Open in Colab](#)

## Features of SustainDC

- **Highly Customizable and Scalable Environments:** Allows users to define and modify various aspects of DC operations, including server configurations, cooling systems, and workload traces.
- **Multi-Agent Support:** Enables testing of MARL controllers with both homogeneous and heterogeneous agents, facilitating the study of collaborative and competitive DC management strategies.

## Thank You



Avisek Naug



Antonio Guillen



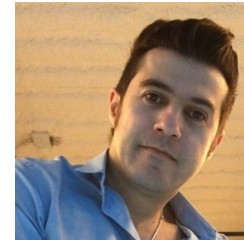
Ricardo Luna



Vineet Gundecha



Cullen Bash



Sahand Ghorbanpour



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Ashwin R Babu



Soumyendu Sarkar

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