

Learning Superconductivity from Ordered and Disordered Material Structures

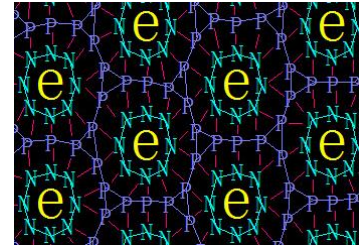
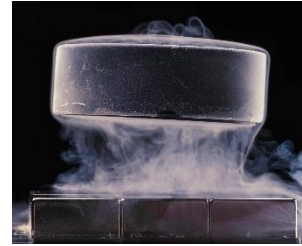


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□ High-temperature Superconductors(HSC)

- Zero resistance, Meissner effect
- Energy transmission, advanced electromagnetics, and quantum computing, etc.



□ Challenges for Designing HSC

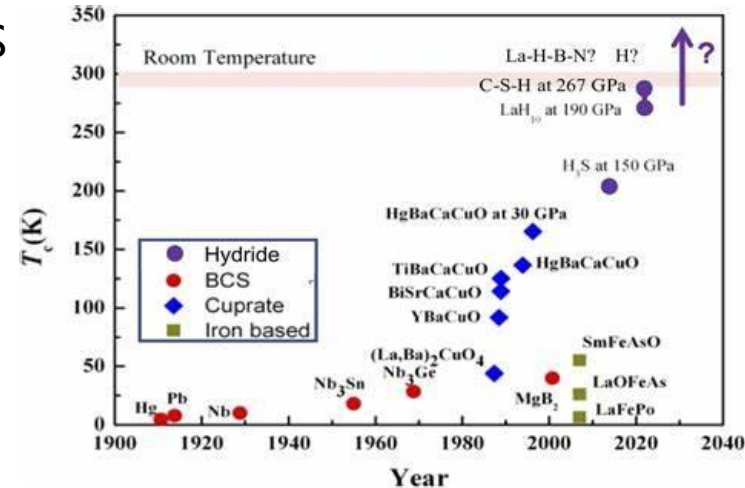
- Theoretical calculation: HSC mechanism unclear/BCS theory is limited.
- Hunt for HCS: "Holy Grail" of physics, a century-old challenge.

The First Room-Temperature Ambient-Pressure Superconductor

Sukbae Lee, Ji-Hoon Kim, Young-Wan Kwon

For the first time in the world, we succeeded in synthesizing the room-temperature superconductor ($T_c \geq 400$ K, 127°C) working at ambient pressure with a modified lead-apatite (LK-99) structure. The superconductivity of LK-99 is proved with the Critical temperature (T_c), Zero-resistivity, Critical current (I_c), Critical magnetic field (H_c), and the Meissner effect. The superconductivity of LK-99 originates from minute structural distortion by a slight volume shrinkage (0.48%), not by external factors such as temperature and pressure. The shrinkage is caused by Cu^{2+} substitution of Pb^{2+} ions in the insulating network of $\text{Pb}(2)$ -phosphate and it generates the stress. It concurrently transfers to $\text{Pb}(1)$ of the cylindrical column resulting in distortion of the cylindrical column interface, which creates superconducting quantum wells (SQWs) in the interface. The heat capacity results indicated that the new model is suitable for explaining the superconductivity of LK-99. The unique structure of LK-99 that allows the minute distorted structure to be maintained in the interfaces is the most important factor that LK-99 maintains and exhibits superconductivity at room temperatures and ambient pressure.

We need new method...

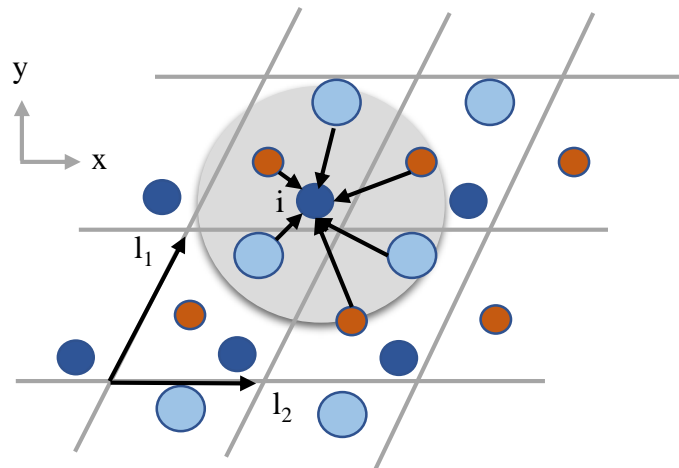


□ Data Driven Method

- Deep Learning: Bypass complex physical theories
- GNN extensively applied to model materials
 - Properties prediction
 - 3D structures generation

□ Inverse Materials Design

- Given target properties to generate 3D structures
 - CDVAE/DiffCSP/SyMat



GNN: Represent atom/bond
as node/edge

We need data to train models...

SuperCon

- 33,000, only chemical formulas

Jarvis-DFT

- 1058, DFT calculated with BSC theory

S2S

- 1,065, label materials with Superconductivity (Yes or No)

3DSC

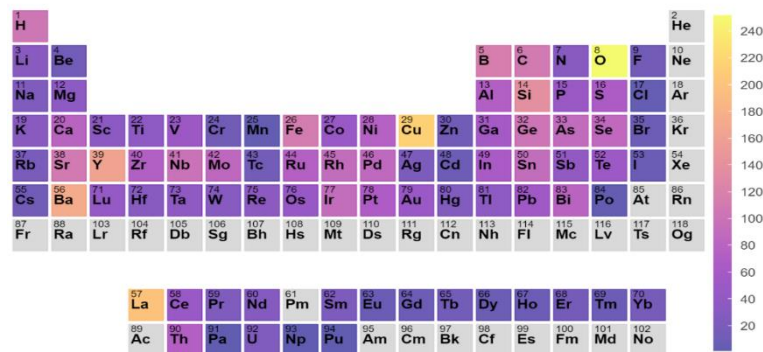
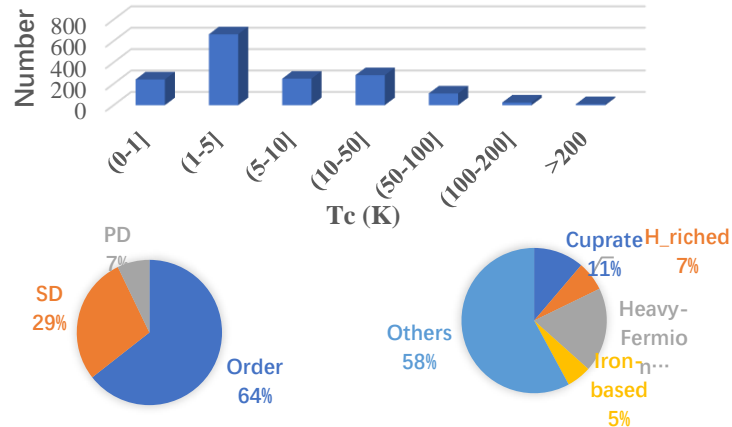
- 9,150, elemental matching and manual doping (some not experimental observation)

Collection Methods

- Formula matching between SuperCon and ICSD
- Manually collection from references

Data Distribution

- Cover 83 elements in periodic table
- Contain ordered and disorder structures
- Five Types:
 - ✓ Cuprate, H-riched, Heavy fermion, Iron-based, others
- T_c values range from (0, 290] K

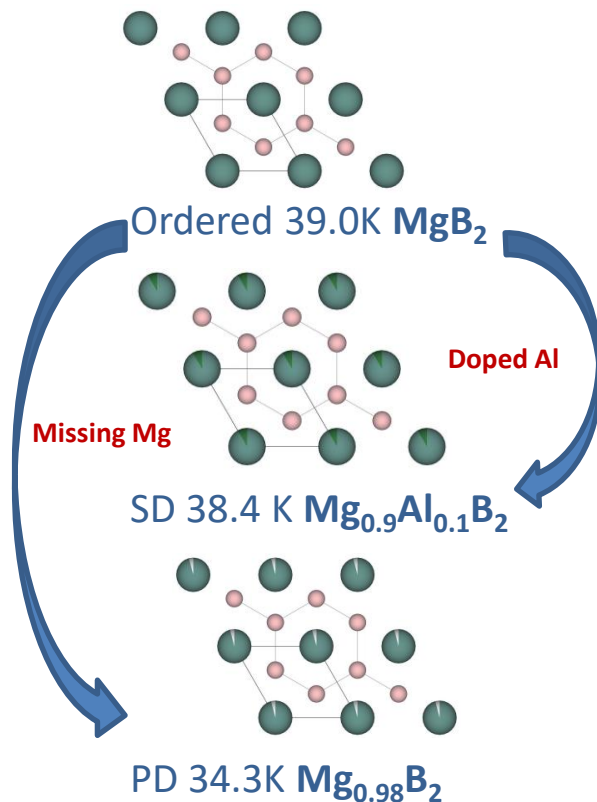


❑ Real-world Superconducting Materials

- Imperfection or disorder for tuning T_c .

❑ Common disordered structures

- **Substitutional Disorder (SD)**: a site is occupied by more than one atomic species.
- **Positional Disorder (PD)**: one atom in the unit cell occurs position shift.
- **SD + PD (SPD)**: both SD and PD can occur simultaneously.
- **Interstitial Disorder (ID)**: atoms occupying interstitial sites outside regular lattice positions in a crystal, unseen in SuperCon3D dataset.
- **Random**: unseen in SuperCon3D dataset.



□ Introduce atomic occupancy to redefine material structure

SD

$$\begin{cases} m_i > 1, \\ a_{i,1} \neq a_{i,2} \neq \dots \neq a_{i,m_i}, \\ w_{i,1} + w_{i,2} + \dots + w_{i,m_i} = 1 \end{cases}$$

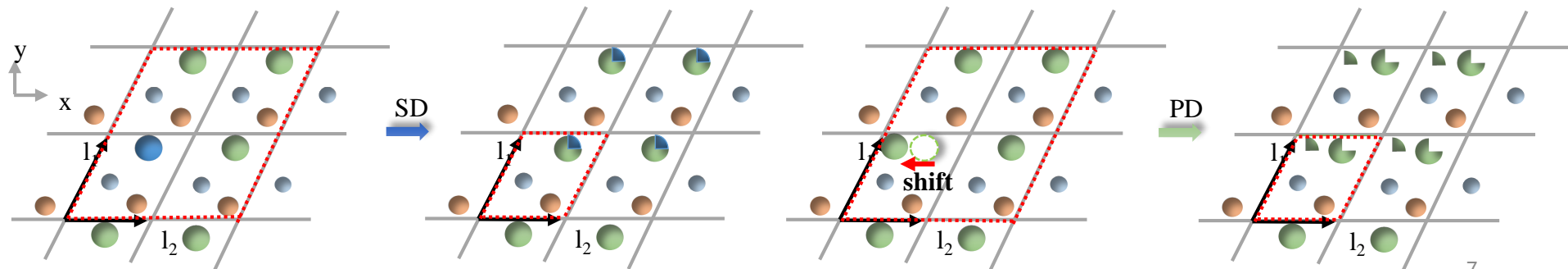
ID

$$w_{i,1} + w_{i,2} + \dots + w_{i,m_i} + w_{i,\text{interstitial}} = 1 + \Delta$$

PD

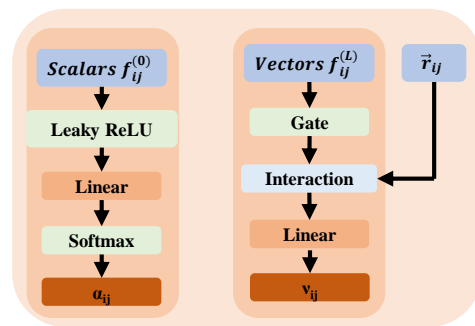
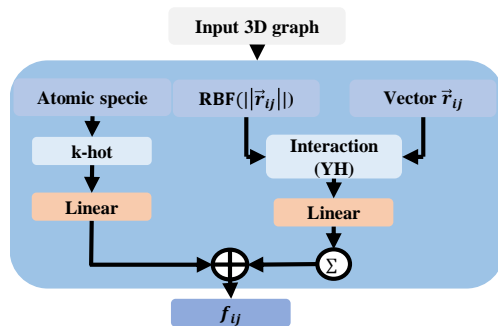
$$\begin{cases} m_i = 1, \\ w_{i,1} < 1. \end{cases}$$

- Unit cell: $\mathcal{M} = (L, S)$
- Lattice: $L = [l_1, l_2, l_3] \in \mathbb{R}^{3 \times 3}$
- Site $\mathcal{S}_i = (A_i, \mathbf{w}_i, \mathbf{x}_i)$
- Composition $A_i = [a_{i,1}, \dots, a_{i,m_i}] \in \mathbb{R}^{m_i \times h}$
- Atomic occupancy: $\mathbf{w}_i \in \mathbb{R}^{m_i}$
- Cartesian coordinate: $\mathbf{x}_i \in \mathbb{R}^3$



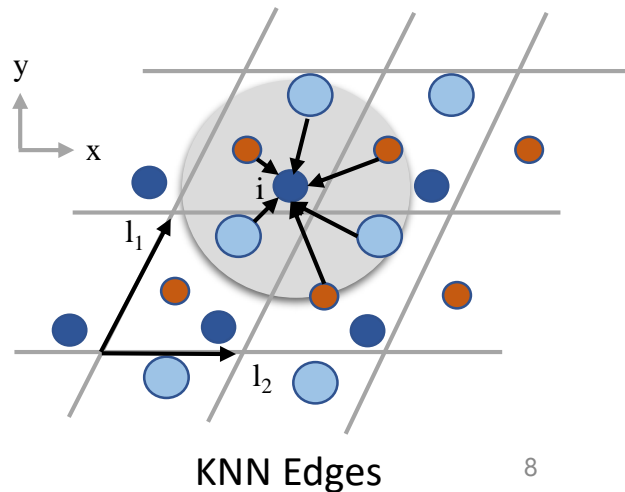
□ SODNet:

- Transformer-based GNN framework for representing ordered and disordered graphs.
- SE(3)-equivariance through irreducible representation-based vector space features



□ Ordered and Disorder Graph Representation

- Node embedding:
$$h_i = \begin{cases} a_{i,1}, & S_i \text{ is ordered,} \\ \sum_k w_{i,k} a_{i,k}, & S_i \text{ is SD or SPD,} \\ w_{i,1} a_{i,1}, & S_i \text{ is PD.} \end{cases}$$
- Edge embedding:

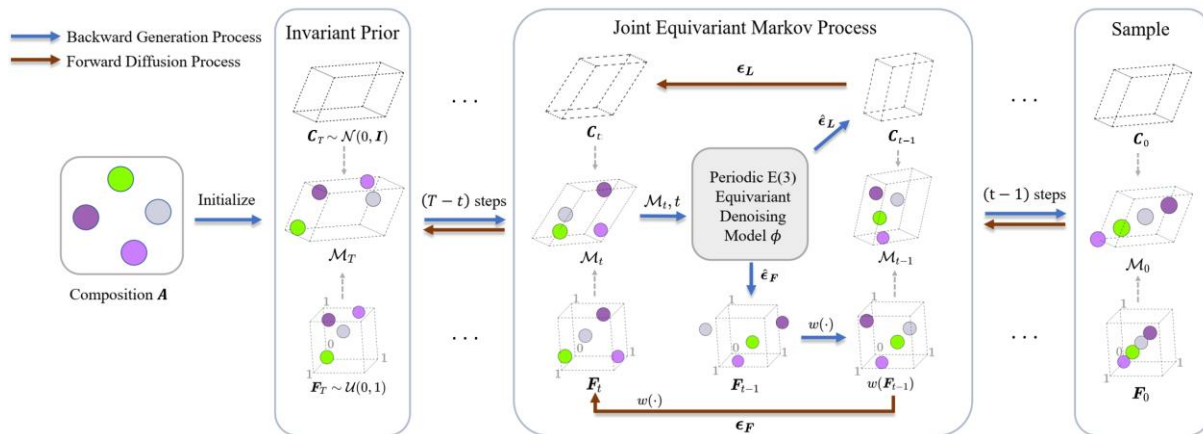


$$\|\vec{r}_{ij}\| > R_i + R_j \quad E = w_i w_j RBF(\|\vec{r}_{ij}\|),$$

$$x_{ij} = \varphi(h_i) + \varphi(h_j), \quad f_{ij} = \varphi_f(x_{ij} \otimes c_E^{TP} SH(\vec{r}_{ij}))$$

DiffCSP-SC: Equivariant diffusion for superconducting crystal structure generation

- Transformer-based architecture
- Diffusion on \mathcal{C}
 - ✓ Gaussian Prior
 - ✓ DDPM-based Markov Chain
- Diffusion on F
 - ✓ Uniform Prior
 - ✓ Score Matching + Wrapped Normal Distribution



Previous work

□ DiffCSP-SC: Equivariant diffusion for superconducting crystal structure generation

➤ Transformer-based architecture

Periodic E(3)
Equivariant
Denoising
Model ϕ

➤ Input Feature

$$\mathbf{h}_i^{(0)} = \rho(f_{\text{atom}}(\mathbf{a}_i), f_{\text{pos}}(t))$$

➤ Message-Passing Blocks

$$\mathbf{m}_{ij}^{(s)} = \varphi_m(\mathbf{h}_i^{(s-1)}, \mathbf{h}_j^{(s-1)}, \mathbf{L}^\top \mathbf{L}, \psi_{\text{FT}}(\mathbf{f}_j - \mathbf{f}_i)),$$

$$\mathbf{m}_i^{(s)} = \sum_{j=1}^N \mathbf{m}_{ij}^{(s)},$$

$$\mathbf{h}_i^{(s)} = \mathbf{h}_i^{(s-1)} + \varphi_h(\mathbf{h}_i^{(s-1)}, \mathbf{m}_i^{(s)}).$$

➤ Lattice Denoising Term

$$\hat{\mathbf{e}}_{\mathbf{L}} = \mathbf{L} \varphi_{\mathbf{L}} \left(\frac{1}{N} \sum_i \mathbf{h}_i^{(s)} \right)$$

$$\hat{\mathbf{e}}_{\mathbf{F}}[:, i] = \varphi_{\mathbf{F}}(\mathbf{h}_i^{(s)})$$

$$\mathbf{h}_i^{(s)} = \mathbf{h}_i^{(s-1)} + \sum_{j=1}^N \theta_{ij}^{(s)} \mathbf{v}_{ij}^{(s)}$$

$$\theta_{ij}^{(s)} = \text{Softmax} \left(\frac{\mathbf{q}_i^{(s)\top} \mathbf{k}_{ij}^{(s)}}{\sqrt{d}} \right)$$

$$\mathbf{q}_i^{(s)} = \varphi_q \left(\mathbf{h}_i^{(s-1)} \right),$$

$$\mathbf{k}_{ij}^{(s)} = \varphi_k \left(\mathbf{h}_i^{(s-1)}, \mathbf{L}^\top \mathbf{L}, \psi_{\text{FFT}}(\mathbf{f}_j - \mathbf{f}_i) \right),$$

$$\mathbf{v}_{ij}^{(s)} = \varphi_v \left(\mathbf{h}_i^{(s-1)}, \mathbf{L}^\top \mathbf{L}, \psi_{\text{FFT}}(\mathbf{f}_j - \mathbf{f}_i) \right)$$

□ SODNet

Method	Data		Performance	
	Train	Test	MAE (logK)↓	R ² ↑
RF-c	O	O	0.738±0.165	0.711±0.050
SVM-c	O	O	0.632±0.094	0.801±0.041
RF-geo	O	O	0.741±0.115	0.759±0.051
SVM-geo	O	O	0.578±0.114	0.827±0.042
SchNet	O	O	0.891±0.041	0.401±0.032
CGCNN	O	O	0.879±0.047	0.405±0.022
DimeNet++	O	O	0.811±0.058	0.434±0.092
SphereNet	O	O	0.762±0.048	0.467±0.096
ALIGNN	O	O	0.755±0.049	0.479±0.090
Matformer	O	O	0.748±0.043	0.570±0.135
MEGNet	O	O	0.794±0.006	0.497±0.009
	O/SD	O/SD	0.889±0.049	0.431±0.058
SODNet	O	O	0.622±0.112	0.595±0.101
	O/SD/PD/SPD	O	0.584±0.119	0.634±0.117
	O/SD	O/SD	0.518±0.084	0.716±0.064
	O/SD/PD/SPD	O/SD/PD/SPD	0.505±0.055	0.748±0.032

Model Performance

Method	Performance	
	MAE (logK)↓	R ² ↑
<i>w/o Occupancy Embedding</i>		
w/o disorder node embedding	0.990±0.033	0.365±0.044
w/o disorder edge embedding	0.592±0.087	0.655±0.046
<i>w/o O(3) Equivariance</i>		
w/o equivariant operations	0.611±0.046	0.618±0.027
SODNet	0.505±0.055	0.748±0.032

Ablation Studies

□ DiffCSP-SC

Model	Data	Performance		
		SR10	SR30	SR50
CDVAE	O	0.03	0.03	0.03
SyMat	O	0.03	0.04	0.04
DiffCSP	O	0.04	0.05	0.05
DiffCSP-SC	O	0.05	0.05	0.10
CDVAE	Pre-training + O	0.25	0.25	0.30
SyMat	Pre-training + O	0.28	0.28	0.35
DiffCSP	Pre-training + O	0.30	0.30	0.45
DiffCSP-SC	Pre-training + O	0.37	0.37	0.50

Model Performance

Pretrain on **1.1 million** stable material structures

Method	Performance		
	SR10	SR30	SR50
<i>w/o Transformer</i>			
<i>w/o attention</i>	0.28	0.28	0.45
<i>w/o Pre-training</i>			
<i>w/o pre-training</i>	0.05	0.05	0.10
DiffCSP-SC	0.37	0.37	0.50

Ablation Studies

□ Real-world Superconductors Validation

Material	O/SD/PD	T_c^{exp} (K)	T_c^{pred} (K)	Relative Error(%)
CaH ₆	O	215 [35]	242.25	12.67
Ti	O	26 [66]	8.50	67.31
CsV ₃ Sb ₅	O	2.3 [18]	2.36	6
Cs(V _{0.93} Nb _{0.07}) ₃ Sb ₅	SD	4.45 [29]	4.71	5.84
Zr ₄ Rh ₂ O	O	3.73 [58]	4.12	10.45
Zr ₄ Pd ₂ O	O	2.73 [58]	2.82	3.3
LaFeSiO _{0.9}	PD	10 [23]	7.93	20.7

Outlier: Extreme pressure (248 GPa)

□ Application: Screening Known Structures

Type	ICSD code	Chemical formula	O/SD/PD	T _c (K)	Reported SC.
Cuprate	68675	CuO ₂ Sr _{0.075}	PD	93.42	CuO ₂ Sr (91K)
	50774	Ca _{0.779} CuO ₂ Y _{0.041}	PD	65.70	-
	50773	Ca _{0.82} CuO ₂	PD	64.72	CaCuO ₂ (89K)
	68217	Ba ₂ CuO ₃	O	59.89	Ba ₂ CuO _{3.2} (70K)
H-riched	187375	ErH ₃	O	193.03	-
	635802	GdH ₃	O	143.19	-
	623739	H _{2.57} Co _{0.14} U _{0.84}	PD	136.76	-
	42009	TbH _{2.25}	SD	135.13	-
Heavy-Fermion	168466	LaMg ₁₂	O	23.83	-
	161141	LaMg _{11.196} Al _{0.804}	SD	21.13	-
	69897	C ₂ Ce _{0.75} U _{0.25}	PD	11.88	-
	647197	Np _{1.1} Pu _{0.9}	SD	11.75	-
Iron-based	427163	Ba _{0.83} Fe ₂ Rb _{0.17} As ₂	SD	23.21	Ba _{0.6} Fe ₂ Rb _{0.4} As ₂ (37.5k)
	188347	BaFe ₂ As ₂	O	23.27	-
	39530	FeCl ₇ Te	O	19.57	-
	633401	FeSb _{0.4} Te _{1.6}	SD	16.83	-
Others	96031	Ba _{1.1432} Co _{0.1429} O _{3.0009} Rh _{0.8574}	PD	202.12	-
	58639	Ba _{0.515} Ca _{0.485}	SD	160.95	-
	616160	BaSr	SD	123.51	-
	106111	SrTi ₂	O	63.52	-

parent compounds exhibit superconductivity^{[1][2]}

Disordered compound shows superconductivity^[3]

Disordered compound presents superconductivity^[4]

Screening entire ICSD, selecting 20 entries

[1]. *Physica C: Superconductivity*, 227(3-4): 395–398, 1994

[2]. *Nature*, 414(6862):434–436, 2001.

[3]. *PNAS*, 116(25):12156–12160, 2019.

[4]. *Z Anorg Allg Chem*, 640(5): 830–835, 2014.

□ Application: Generating Novel Structures

Type	Index	Chemical formula	T _c (K)	Reported SC.
Cuprate	1	Ba ₂ CuCl ₂ O ₂	33.56	-
	2	Tl ₂ Ca ₂ Ba ₂ Cu ₃ O ₁₀	14.09	-
	3	Ba ₃ CaLa ₂ GdCu ₇ O ₁₇	10.12	-
	4	YCu ₃ O ₇	9.73	-
H-riched	5	TbH ₃	164.33	TbH ₃ (20K)
	6	SeH ₃	139.89	SeH ₃ (113K)
	7	CaGe ₂ H ₉	103.55	-
	8	Ca ₂ MnCrH ₆	58.07	-
Heavy-Fermion	9	Ba ₃ Pu	44.81	-
	10	Th	43.61	-
	11	ThC ₃	17.96	-
	12	Lu	4.86	-
Iron-based	13	BaFe ₂ Se ₂	11.99	-
	14	SmFeAsO	4.42	SmFeAsO _{0.8} F _{0.2} (54K)
	15	KFe ₂ As ₂	4.23	KFe ₂ As ₂ (20K)
	16	NdFeAsF	4.13	-
Others	17	Ba ₃ Ca	80.04	-
	18	Ba ₂ Se	60.70	-
	19	Ba	52.26	-
	20	Mg ₃ B	43.96	-

Calculated by DFT^[1]

Predicted by ML^[2]

Disorders display
superconductivity^{[3] [4]}

[1]. JPCCC, 125(6):3640–3649, 2021.

[2]. arXiv preprint arXiv:2301.10474, 2023

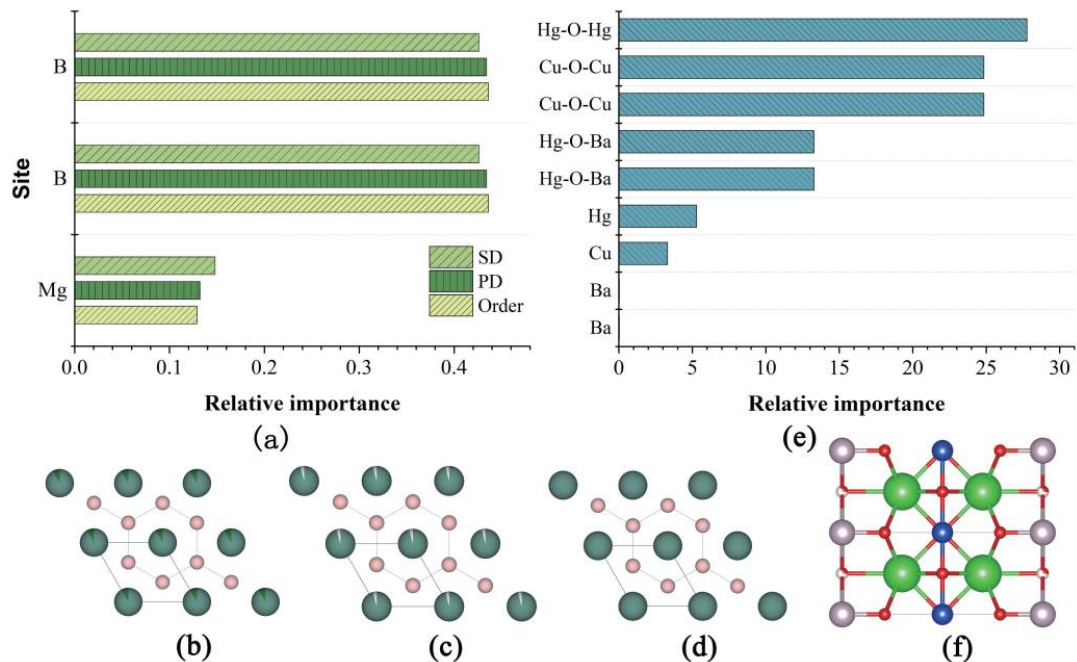
[3]. IEEE T APPL SUPERCON, 2023.

[4]. J SUPERCOND NOV MAGN, 33(8):2347–2354, 2020.

Generating Novel Structures (selecting 20 entries)

□ Relationship Between Structures and T_c

- Characteristics of superconductors: large number of atoms and diverse elements.
- Identify key atomic contributions to T_c .



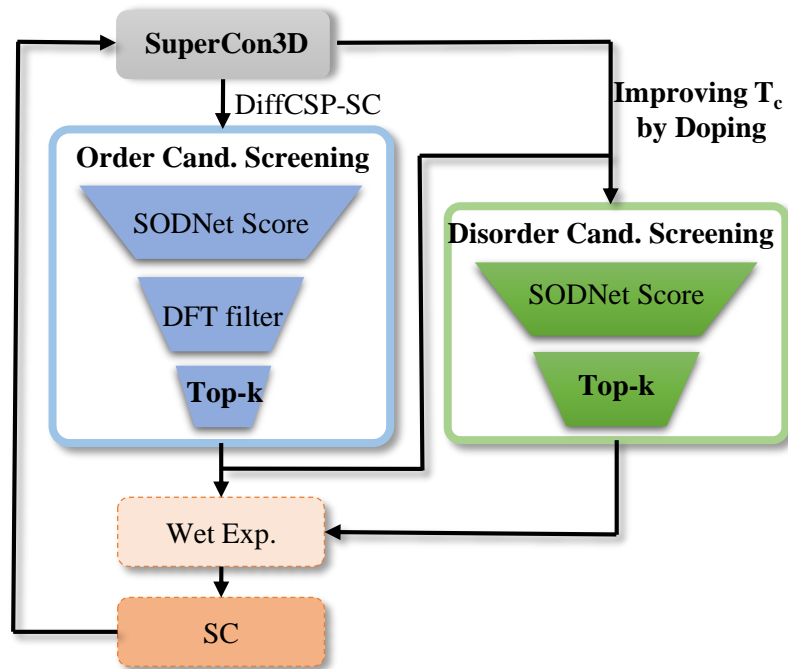
Shows potential for atomic-level superconductor design.

□ Limitations

- Data unevenness
 - ✓ Scarce High T_c data, uneven across 5 material types.
- Elemental skewness
 - ✓ Especially in Cu and O

□ Solutions

- More high-quality data
- Proposed pipeline
 - ✓ SuperCon3D + DiffCSP-SC + DFT + SODNet + Wet Exp.



- ❑ **A new dataset SuperCon3D** containing both ordered-and-disordered crystal structures and experimental T_c

- ❑ We propose **two deep learning models** to showcase the possible methods for exploring
 - SODNet: T_c predictor
 - DiffCSP-SC: Crystal Structures generator targeting high T_c

- ❑ Based on our proposed models, we present **a list of candidate superconductors** for future experimental validation
 - First report of candidate disordered superconductors using GNN methods.

Thanks

