



# Discrete Modeling via Boundary Conditional Diffusion Processes

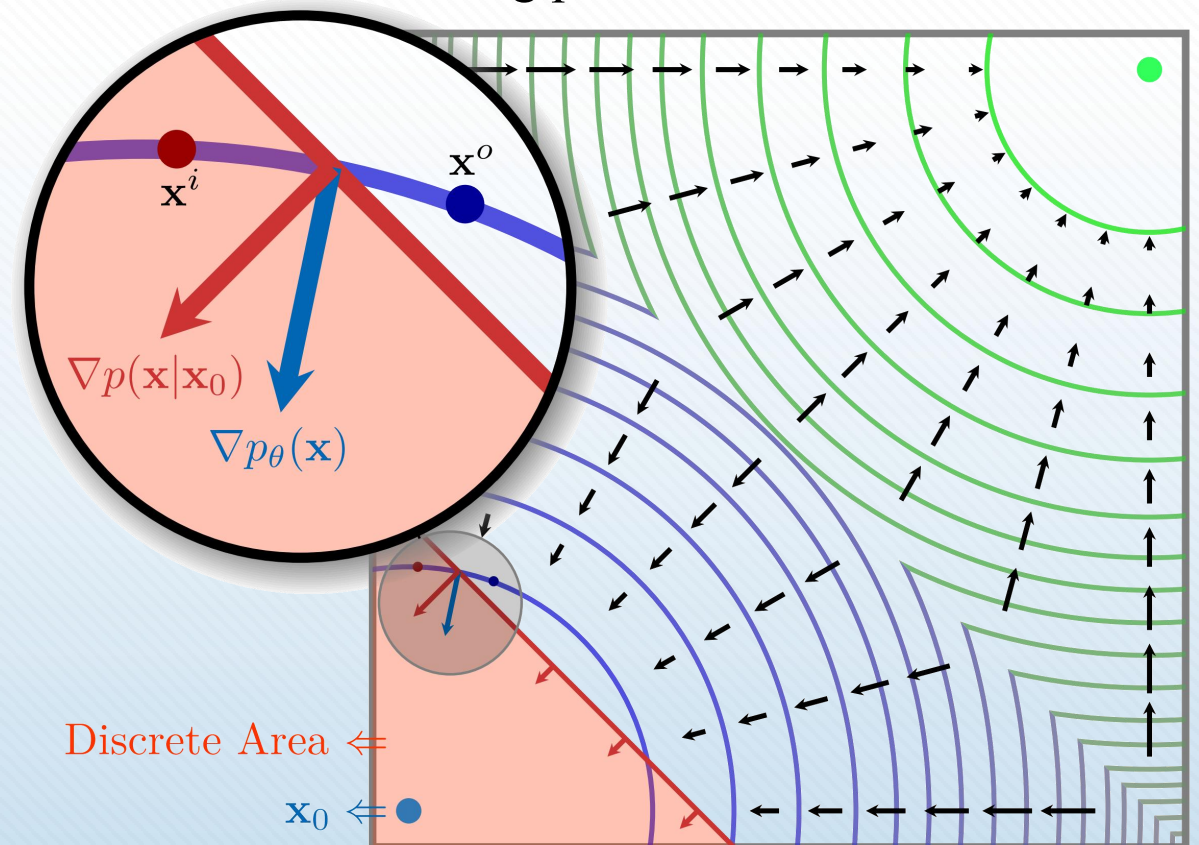
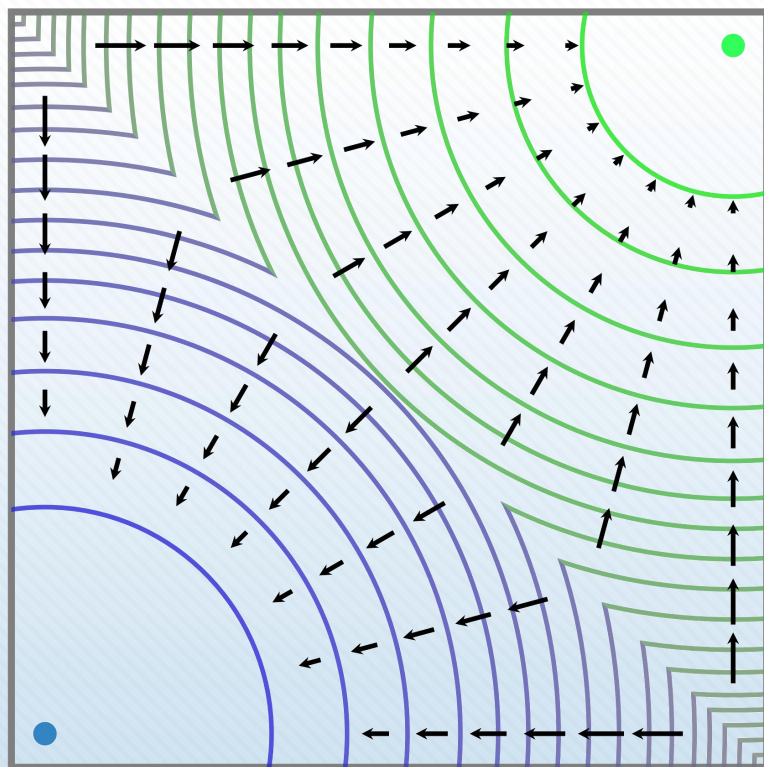
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Yuxuan Gu, Xiaocheng Feng, Lei Huang, Yingsheng Wu, Zekun Zhou,  
Weihong Zhong, kun Zhu, Bing Qin  
Harbin Institute of Technology

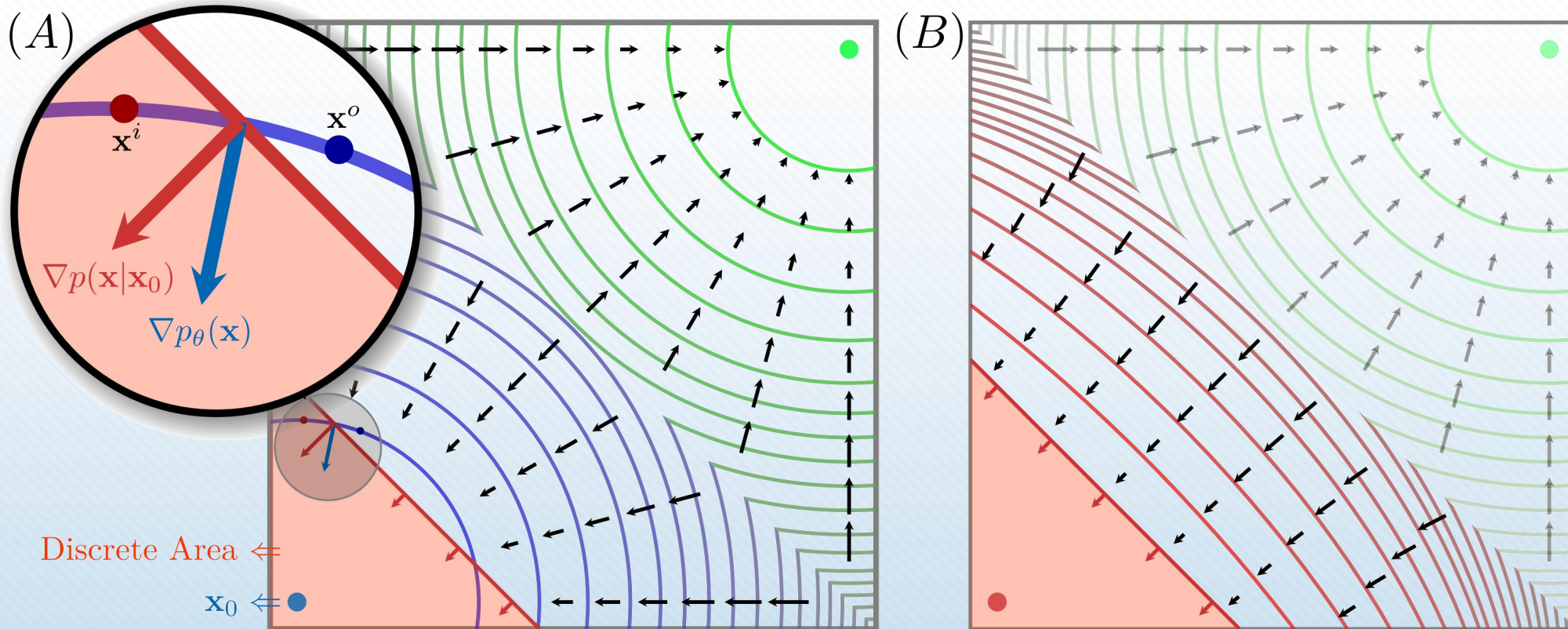
# Diffusion Processes for Discrete Modeling

The gap between continuous diffusion processes and discrete modeling problems



# Diffusion Processes for Discrete Modeling

Take the discrete boundary as a prior for the diffusion processes



# Boundary Conditional Diffusion Processes

- The Forward Process

$$\tilde{p}_t(\mathbf{x}|\mathbf{x}_0) = \int \underbrace{\tilde{p}_t(\mathbf{x}|\mathbf{x}_{t_0}, t_0, \mathbf{x}_0)}_{\text{Trajectory Rescaling}} \underbrace{p(\mathbf{x}_{t_0}, t_0|\mathbf{x}_0)}_{\text{Boundary Estimation}} d\mathbf{x}_{t_0} dt_0$$

- Boundary Estimation

**Diffusion Process** For variance preserving, there is  $\mathbf{u}_t^2 + \mathbf{v}_t^2 = 1$  and we have:

$$\mathbf{u}_{t_0} = 1 / \sqrt{1 + \left( \frac{f(\mathbf{x}_0, \mathcal{I}) - f(\mathbf{x}_0, \mathcal{J})}{f(\epsilon, \mathcal{J}) - f(\epsilon, \mathcal{I})} \right)^2} \text{ and } \mathbf{v}_{t_0} = 1 / \sqrt{1 + \left( \frac{f(\epsilon, \mathcal{J}) - f(\epsilon, \mathcal{I})}{f(\mathbf{x}_0, \mathcal{I}) - f(\mathbf{x}_0, \mathcal{J})} \right)^2}.$$

For variance exploding, there are  $\mathbf{u}_t = 1$  and  $\mathbf{v}_t = \sigma_t$ . We can obtain:

$$\mathbf{u}_{t_0} = 1 \text{ and } \mathbf{v}_{t_0} = (f(\epsilon, \mathcal{J}) - f(\epsilon, \mathcal{I})) / (f(\mathbf{x}_0, \mathcal{I}) - f(\mathbf{x}_0, \mathcal{J})).$$

**Flow Matching** For optimal transport, there is  $\mathbf{u}_t + \mathbf{v}_t = 1$  and similarly we get:

$$\mathbf{u}_{t_0} = 1 / \left( 1 + \frac{f(\mathbf{x}_0, \mathcal{I}) - f(\mathbf{x}_0, \mathcal{J})}{f(\epsilon, \mathcal{J}) - f(\epsilon, \mathcal{I})} \right) \text{ and } \mathbf{v}_{t_0} = 1 / \left( 1 + \frac{f(\epsilon, \mathcal{J}) - f(\epsilon, \mathcal{I})}{f(\mathbf{x}_0, \mathcal{I}) - f(\mathbf{x}_0, \mathcal{J})} \right).$$

# Boundary Conditional Diffusion Processes

- The Forward Process

$$\tilde{p}_t(\mathbf{x}|\mathbf{x}_0) = \int \underbrace{\tilde{p}_t(\mathbf{x}|\mathbf{x}_{t_0}, t_0, \mathbf{x}_0)}_{\text{Trajectory Rescaling}} \underbrace{p(\mathbf{x}_{t_0}, t_0|\mathbf{x}_0)}_{\text{Boundary Estimation}} d\mathbf{x}_{t_0} dt_0$$

- Boundary Estimation

$$p(\mathbf{x}_{t_0}, t_0|\mathbf{x}_0) = [\Psi]_* \pi([\mathbf{x}_{t_0}; t_0])$$

$$\Psi(\epsilon) = [\psi_{G(\mathbf{x}_0, \epsilon)}(\epsilon); G(\mathbf{x}_0, \epsilon)] \quad \Psi^{-1}([\mathbf{x}_{t_0}; t_0]) = (\mathbf{x}_{t_0} - \mathbf{u}(\mathbf{x}_0, t_0)\mathbf{x}_0)/\mathbf{v}(\mathbf{x}_0, t_0)$$

$$t_0 = G(\mathbf{x}_0, \epsilon), \text{ where } \mathbf{u}(\mathbf{x}_0, G(\mathbf{x}_0, \epsilon)) = \mathbf{u}_{t_0} \text{ and } \mathbf{v}(\mathbf{x}_0, G(\mathbf{x}_0, \epsilon)) = \mathbf{v}_{t_0}$$

# Boundary Conditional Diffusion Processes

- The Forward Process

$$\tilde{p}_t(\mathbf{x}|\mathbf{x}_0) = \int \underbrace{\tilde{p}_t(\mathbf{x}|\mathbf{x}_{t_0}, t_0, \mathbf{x}_0)}_{\text{Trajectory Rescaling}} \underbrace{p(\mathbf{x}_{t_0}, t_0|\mathbf{x}_0)}_{\text{Boundary Estimation}} d\mathbf{x}_{t_0} dt_0$$

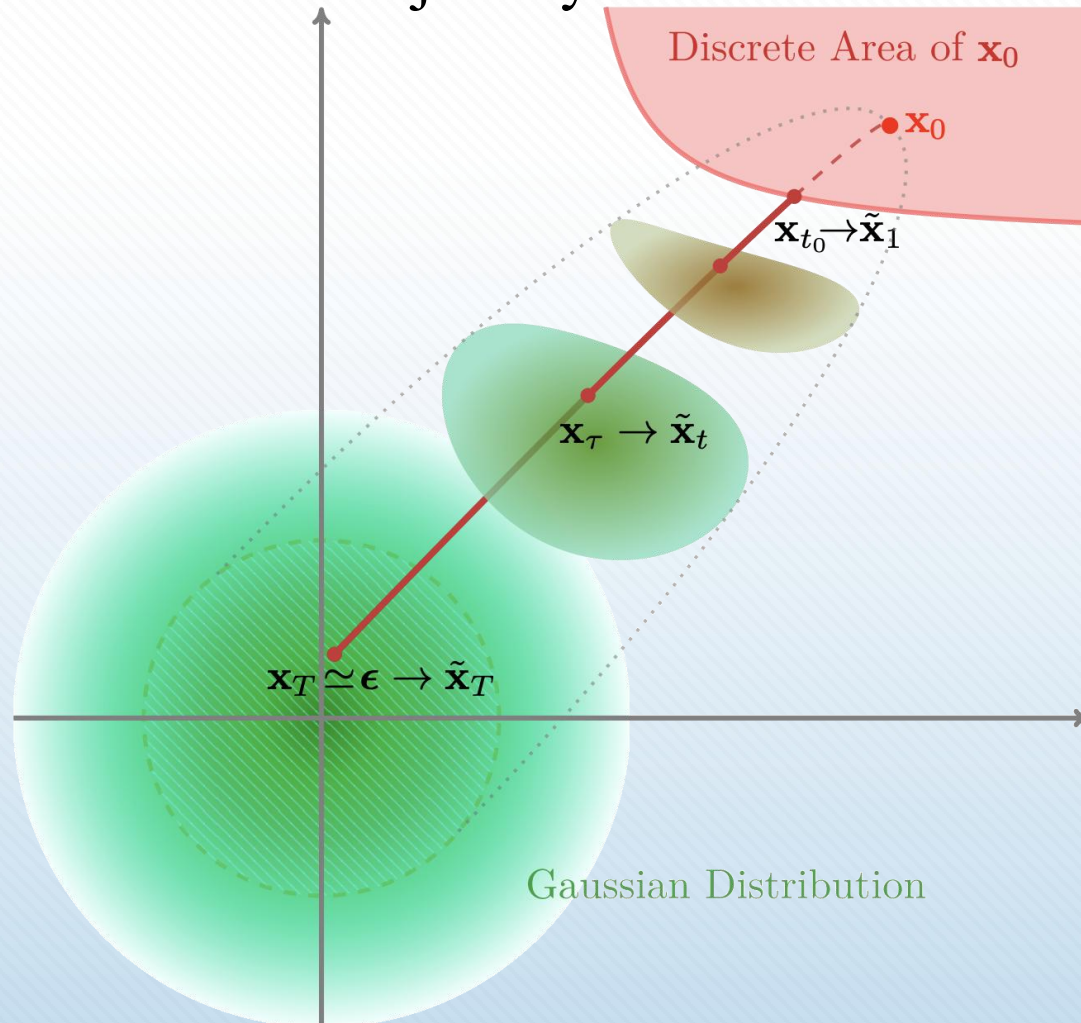
- Trajectory Rescaling

$$\begin{aligned} \tilde{p}_t(\tilde{\mathbf{x}}_t|\mathbf{x}_0) &= \int \tilde{p}_t(\tilde{\mathbf{x}}_t, \tau|\mathbf{x}_0) d\tau = \int \tilde{p}_t(\tilde{\mathbf{x}}_t, \tau|\mathbf{x}_{t_0}, t_0, \mathbf{x}_0) p(\mathbf{x}_{t_0}, t_0|\mathbf{x}_0) d[\mathbf{x}_{t_0}; t_0] d\tau \\ &= \int [\psi_\tau \circ \Psi^{-1} \circ \Psi]_* \pi([\tilde{\mathbf{x}}_t; \tau]) d\tau = \int [\psi_\tau]_* \pi([\tilde{\mathbf{x}}_t; \tau]) d\tau. \end{aligned}$$

$$\begin{aligned} \tilde{\mathbf{x}}_t &= \mathbf{x}_\tau, \quad \tau = \mathcal{T}(t, t_0) = r \times t_0 + t \times (T - r \times t_0)/T \\ &= \mathbf{u}(\mathbf{x}_0, \mathcal{T}(t, t_0)) \mathbf{x}_0 + \mathbf{v}(\mathbf{x}_0, \mathcal{T}(t, t_0)) \Psi^{-1}([\mathbf{x}_{t_0}; t_0]). \end{aligned}$$

# Boundary Conditional Diffusion Processes

- Rescaled Trajectory




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## Algorithm 1 Training

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- 1: **repeat**
  - 2:  $\mathbf{x}_0 \sim q(\mathbf{x}_0), \epsilon \sim \pi(\mathbf{x}) = \mathcal{N}(\mathbf{0}, \mathbf{I})$
  - 3:  $t \sim \text{Uniform}(\{1, \dots, T\})$
  - 4:  $\tau := \mathcal{T}(t, G(\mathbf{x}_0, \epsilon))$  // eqs. (12) and (19)
  - 5:  $\tilde{\mathbf{x}}_t := \mathbf{u}(\mathbf{x}_0, \tau)\mathbf{x}_0 + \mathbf{v}(\mathbf{x}_0, \tau)\epsilon$  // eq. (22)
  - 6: Take gradient descent step on  

$$\nabla_{\theta} \|\mathbf{x}_0 - \mathbf{x}_{\theta}(\tilde{\mathbf{x}}_t, t)\|^2$$
 // eq. (23)
  - 7: **until** converged
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## Algorithm 2 Sampling

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- 1:  $t := T, \tau := T$
  - 2:  $\hat{\epsilon} \simeq \tilde{\mathbf{x}}_t \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  // Initialing
  - 3: **for**  $\Delta t := \Delta t_1, \dots, \Delta t_s$  **do** //  $\sum \Delta t = T$
  - 4:  $\hat{\mathbf{x}}_0 := \mathbf{x}_{\theta}(\tilde{\mathbf{x}}_t, t)$  // Pseudo Target
  - 5:  $t := t - \Delta t$  // Updating
  - 6:  $\tau := \mathcal{T}(t, G(\hat{\mathbf{x}}_0, \hat{\epsilon}))$  // eq. (25)
  - 7:  $\tilde{\mathbf{x}}_t := \mathbf{u}(\hat{\mathbf{x}}_0, \tau)\hat{\mathbf{x}}_0 + \mathbf{v}(\hat{\mathbf{x}}_0, \tau)\hat{\epsilon}$
  - 8:  $\hat{\epsilon} := \Psi^{-1}([\tilde{\mathbf{x}}_t; \tau])$  // Trajectory Alteration
  - 9: **end for**
  - 10:  $\mathbf{x}_0 := \mathbf{x}_{\theta}(\tilde{\mathbf{x}}_t, t)$  //  $\mathbf{x}_1 \rightarrow \mathbf{x}_0$
  - 11: **return**  $\mathbf{x}_0$
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# Boundary Conditional Diffusion Processes

- Language Modeling

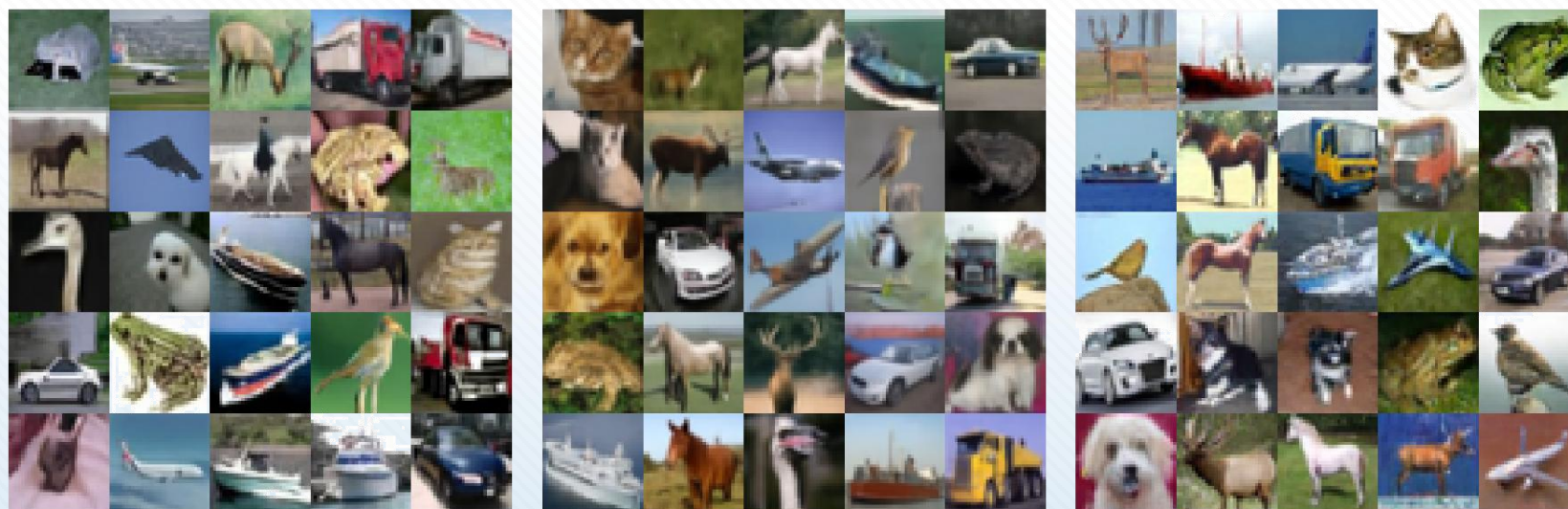
Table 1: Result of BLEU scores on machine translation and ROUGE scores on text summarization.

Models	IWSLT14 DE-EN BLEU (BLEU-1/2/3/4)↑	WMT14 EN-DE BLEU (BLEU-1/2/3/4)↑	WMT16 EN-RO BLEU (BLEU-1/2/3/4)↑	GIGAWORD ROUGE-1/2/L↑
<i>Auto-Regressive Modeling</i>				
Transformers	34.31 (67.3/41.6/27.9/19.1)	<b>28.01</b> (58.2/33.5/21.7/14.6)	34.05 (63.1/39.9/27.6/19.6)	<b>37.57/18.90/34.69</b>
Ours+Rerank	<b>35.02</b> (68.7/43.3/29.2/20.1)	27.67 (57.9/33.2/21.4/14.3)	<b>34.33</b> (63.1/40.1/27.8/19.8)	37.49/18.68/ <b>34.82</b>
<i>Diffusion Process</i>				
D3PM	27.61 (65.4/37.7/22.8/14.2)	22.94 (54.9/28.8/16.9/10.4)	27.84 (59.8/34.9/22.1/14.5)	33.92/14.96/31.72
DiffuSeq	28.78 ( - / - / - / - )	15.37 ( - / - / - / - )	25.45 ( - / - / - / - )	31.17/12.23/29.24
SeqDiffuSeq	30.03 ( - / - / - / - )	17.14 ( - / - / - / - )	26.17 ( - / - / - / - )	31.90/12.36/29.22
Difformer	31.58 (68.6/41.4/26.7/17.5)	24.80 (58.7/32.0/19.7/12.5)	30.08 (64.4/39.5/26.5/18.2)	35.47/15.17/32.82
SEDD	31.87 (68.7/41.8/27.2/18.0)	24.98 (59.2/32.4/20.1/12.9)	29.38 (62.2/38.0/24.9/16.9)	34.33/15.22/32.06
Dinoiser	31.91 (67.1/40.9/26.7/17.7)	24.77 (57.2/31.0/19.0/12.0)	31.49 (62.8/38.4/25.5/17.3)	35.17/15.63/32.53
Ours	<b>33.42</b> (68.0/42.0/27.7/18.6)	<b>26.69</b> (57.7/32.3/20.4/13.4)	<b>33.15</b> (63.4/39.9/27.4/19.2)	<b>36.44/16.09/33.56</b>



# Boundary Conditional Diffusion Processes

- Discrete Image Generation


 (A) Bit Diffusion *repro* (FID 10.37)

(B) DDIM (FID 4.04)

(C) Ours (FID 3.86)

 Figure 3: Generated images of Bit Diffusion *repro*, DDIM, and Ours on CIFAR-10.

Table 3: FID scores on CIFAR-10.

Models	CIFAR-10 (FID ↓)		
	200K	500K	Final
<i>Continuous Pixels</i>			
<b>DDPM</b>	-	-	3.17
<b>DDIM</b>	-	-	4.04
<i>Discrete Ordinal Pixels</i>			
<b>D3PM</b> GAUSS	-	-	7.34
$\tau$ LDR-0	-	-	8.10
$\tau$ LDR-10	-	-	3.74
BINARY CODING (UINT8):			
<b>Bit Diffusion</b>	-	-	3.48
<b>Bit Diffusion</b> <i>repro</i>	22.12	13.23	10.37
<b>Ours</b>	8.17	5.03	<b>3.86</b>
FIXED EMBEDDING:			
<b>Bit Diffusion</b> <i>repro</i>	19.69	16.61	12.96
<b>Ours</b>	12.32	10.09	<b>9.15</b>
<i>Categorical Pixels</i>			
<b>D3PM</b> UNIFORM	-	-	51.27
<b>D3PM</b> ABSORBING	-	-	30.97
VECTOR QUANTIZATION:			
<b>D3PM-VQ</b>	-	-	16.47
$\tau$ LDR-VQ	-	-	40.06
<b>SDDM-VQ</b>	-	-	12.23
TRAINABLE EMBEDDING:			
<b>Bit Diffusion</b> <i>repro</i>	33.09	27.21	19.26
<b>Ours</b>	21.17	15.32	<b>10.99</b>

# Conclusion

- Observe the challenges in continuous diffusion models when applied to discrete modeling.
- Attempt to add the discreteness as a prior into the diffusion processes