

CWI



# Robustly overfitting latents for flexible neural image compression

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# Neural Image Compression

- State-of-the-art models based on variational autoencoders with encoder-decoder structure
- Models are trained to minimize the expected rate-distortion (R-D) costs:

$$\mathcal{L} = \mathcal{R} + \lambda \mathcal{D}$$

- **Aim:** find latent representation with best trade-off between length of bitstream & quality of reconstructed image
- In practice: limited capacity when it comes to optimization and generalization

# Latent refinement

- Refining only the latents of pre-trained models:
  - Improved compression results per image without re-training model
- Variable  $y$  is **iteratively adapted** using differentiable operations test time
  - **Aim:** Find more optimal discrete latent representation  $\hat{y}$

Minimization problem to be solved for image  $x$ :

$$\arg \min_{\hat{y}} \left[ -\log_2 p_{\hat{y}}(\hat{y}) + \lambda d(x, \hat{x}) \right]$$

# SGA

- In (Yang et al., 2020), propose **Stochastic Gumbel Annealing** (SGA) to optimize latents
- Soft-to-hard quantization method: quantizes continuous variable  $\mathcal{V}$  into discrete representation for which gradients can be computed
- The logits are computed with atanh with following function to obtain **unnormalized log probabilities**:

$$\text{logits} = (-\text{atanh}(v_L)/\tau, -\text{atanh}(v_R)/\tau)$$

- To obtain **probabilities**, a softmax is used over the logits, which gives probability  $p(y)$
- Approximated by Gumbel-softmax distribution

# SGA+

## SGA

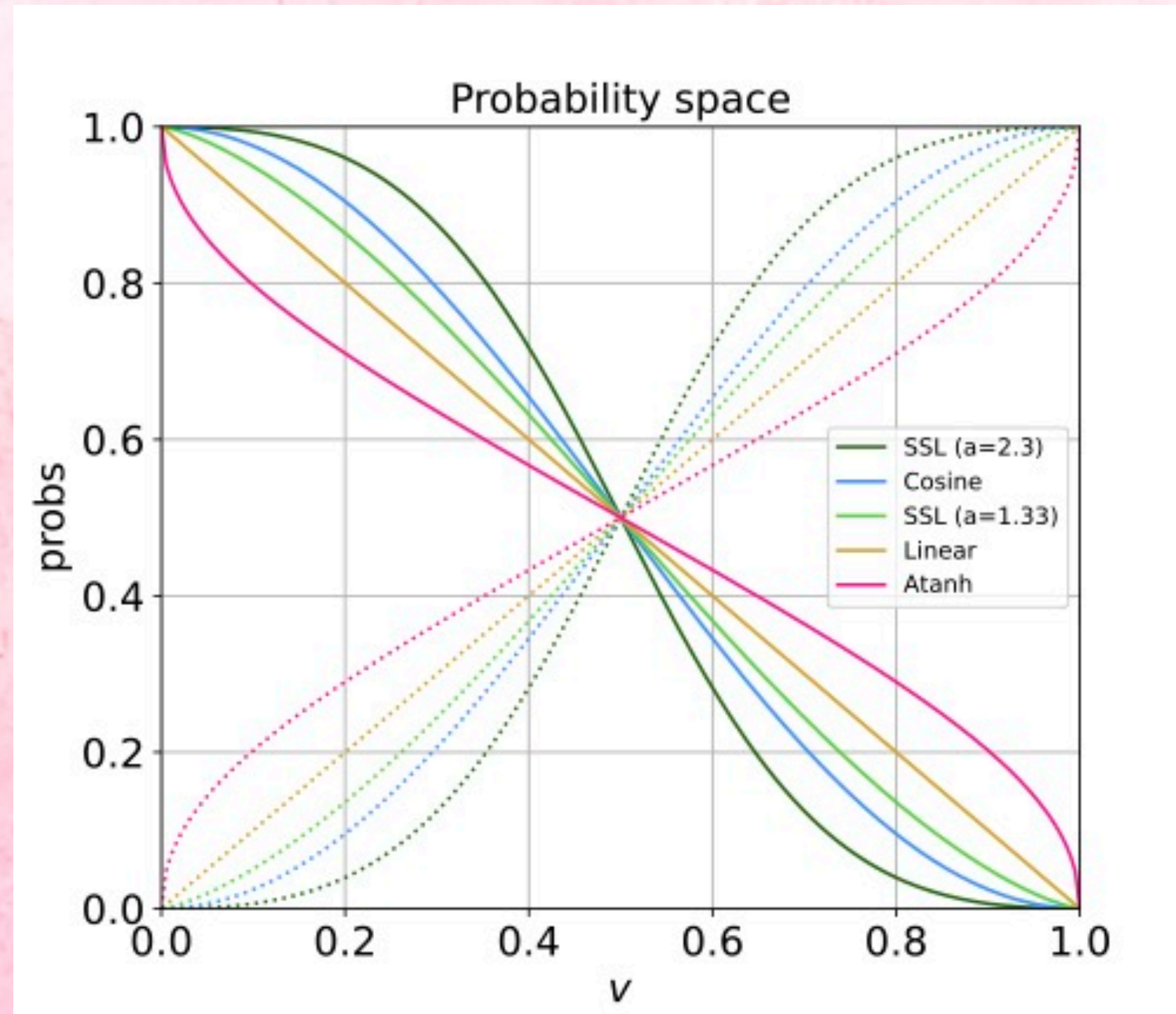
- Computation of *logits* is obtained with  $\text{atanh}$
- Looking at probability space  $\text{atanh}$ :
  - **Sub-optimal**
  - Gradients tend to infinity when approaching limits of 0 and 1

## SGA+

- Probabilities given by softmax over *logits* with function of choice
- Probabilities need to be:
  - Monotonic functions
  - Rounding down and up sums up to 1
- We extend SGA with SGA+
  - Contains **three methods** for *logits* computation
  - Overcomes issues SGA
  - Can be extended to **three class** rounding



# Probability space



**Figure 1:** Probability space for several SGA+ methods

# SGA+



Linear:

$$p(y = \lfloor v \rfloor) = 1 - (v - \lfloor v \rfloor)$$

- **Most natural case** since it linearly increases/decreases
- Prevents saturation or vanishing gradients completely
- Robust choice since constant gradients



Cosine:

$$p(y = \lfloor v \rfloor) = \cos^2 \left( \frac{(v - \lfloor v \rfloor)\pi}{2} \right)$$

- Has low gradients in the area where atanh tends to have gradients that go to infinity for  $v$  close to corners



Sigmoid Scaled Logit (SSL):

$$p(y = \lfloor v \rfloor) = \sigma(-a\sigma^{-1}(v - \lfloor v \rfloor))$$

- Interpolates between all possible functions with its hyperparameter  $a$

# Experiments

## Implementation details

- Two pre-trained hyperprior models to test SGA+ (Cheng et al., 2020)
  - Package from **CompressAI**.
  - Models were trained w.:
- $\lambda = \{0.0016, 0.0032, 0.0075, 0.015, 0.03, 0.045\}$
- Using Kodak, Tecnick & CLIC dataset

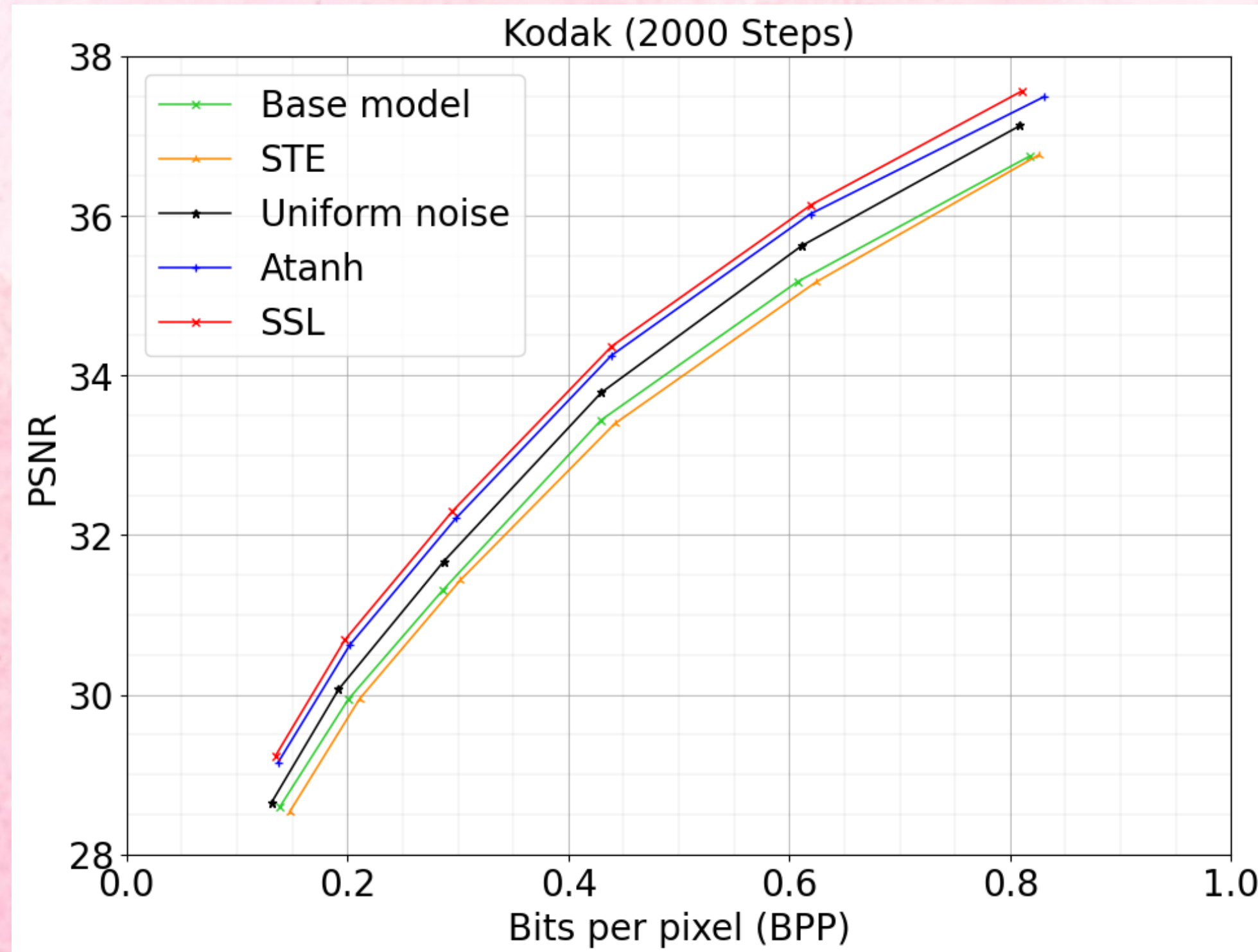
## Baseline methods

- Compare against **literature methods**:
  - Straight-Through Estimator
  - Uniform noise
  - SGA





# Overall performance



**Figure 2)** R-D performance for SSL on Kodak

# Qualitative results



Original image



SSL (our method)

# Temperature sensitivity

Table 1) True R-D loss for different  $\tau_{\max}$  settings

Function $\backslash \tau_{\max}$	0.2	0.4	0.6	0.8	1.0
exp atanh( $v$ )	0.6301	0.6273	0.6267	0.6260	0.6259
$1 - v$ (linear)	0.6291 ↓	0.6229 ↓	0.6225	0.6222	0.6220
$\cos^2(\frac{v\pi}{2})$	0.6307	0.6233	0.6194 ↓	0.6186	0.6187
$\sigma(-a\sigma^{-1}(v))$	0.6341	0.6233	0.6196	0.6181 ↓	<b>0.6175</b> ↓
exp atanh( $v$ )	0.0010	0.0044	0.0073	0.0079	0.0084
$1 - v$ (linear)	0	0	0.0031	0.0041	0.0045

# Conclusion



Proposed SGA+ a more effective extension for refinement of latents and drop-in replacement for SGA



SSL can interpolate between all proposed methods & outperforms all baselines in terms of R-D trade-off on Kodak, Tecnick and CLIC



Exploration of SGA+ showed that it is more stable under varying conditions