



# Density-based User Representation using Gaussian Process Regression for Multi-interest Personalized Retrieval

---

Presenter: Haolun Wu\*

Co-authors:

Ofer Meshi, Masrour Zoghi, Fernando Diaz, Steve Liu, Craig Boutilier, Maryam Karimzadehgan

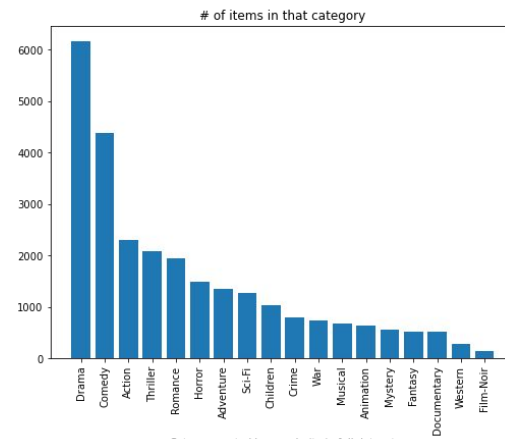
*\* Work done while doing an internship at Google.*

# Personalization in Retrieval and Recommendation

- Personalization plays an important role in user **satisfaction**
- Requires to capture users' **multiple interests**
- **Challenges:**
  - Users have **diverse and volatile interests**
  - Hard to retrieve items from **niche interests**
- **Our goal: find good user representation that can capture multiple interests**



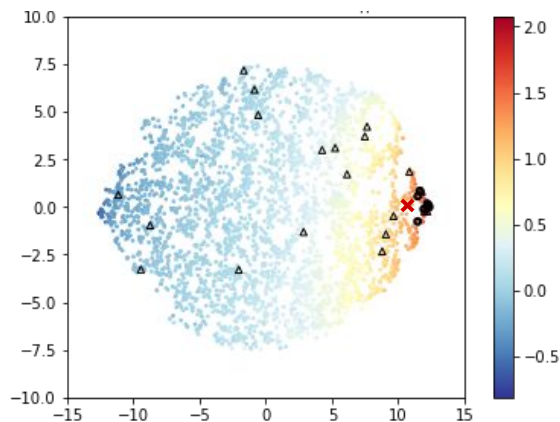
Source: Cen et al. Controllable Multi-Interest Framework for Recommendation. KDD'20.



## Previous Solutions on User Representation: Point-based Representation

- Single-point User Representation (SUR)
  - Fails to cover multi-interest (unless using a very high-dimension vector)

*user id: 301*



**Visualization:**

**Learned scores on all items for a user – using SUR**

Dataset: MovieLens 1M

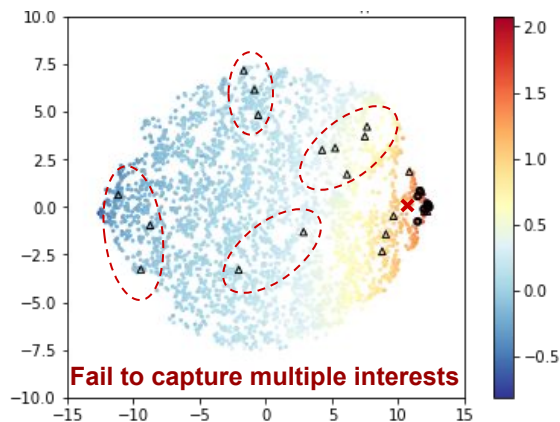
Original dimension size: 64

**Reduce to dim=2 for 2D visualization**

## Previous Solutions on User Representation: Point-based Representation

- Single-point User Representation (SUR)
  - Fails to cover multi-interest (unless using a very high-dimension vector)

*user id: 301*



**Visualization:**

**Learned scores on all items for a user – using SUR**

Dataset: MovieLens 1M

Original dimension size: 64

**Reduce to dim=2 for 2D visualization**

## Previous Solutions on Multi-interest User Representation: Point-based Representation

- Multi-point User Representation (MUR)
  - How to choose # of points ( $K$ )?
    - Pre-define  $K$  for all users. E.g.,  $K=4$ . *MaxMF [RecSys'13], PolyDeepWalk [KDD'19], ComiRec [KDD'20], SINE [WSDM'21], PIMI [IJCAI'21]*
    - Other heuristic rule.  $K = \log_2(|\mathcal{I}_u|)$  *MIND [CIKM'19]*
    - Use the Ward clustering algorithm per-user. *PinnerSage [KDD'20]*
  - Does not model uncertainty.
    - $f(u, v) = \max_{k=1, \dots, K} \mathbf{u}^k \cdot \mathbf{v}$  *MaxMF [RecSys'13]*
    - Retrieve  $N$  items per interest. Then choose the overall top- $N$  items. *ComiRec [KDD'20], PinnerSage [KDD'20]*

## Previous Solutions on Multi-interest User Representation: Point-based Representation

- Single-point User Representation (SUR)
  - Fails to cover multi-interest (unless using a very high-dimension vector)
- Multi-point User Representation (MUR)
  - How to choose # of points ( $K$ )?
  - Does not model uncertainty

### **Main research question:**

#### **Find a better way for users' multi-interest modeling**

- Adaptive to different number of interests
- Be able to model uncertainty
- Not require very high-dimension

## Previous Solutions on Multi-interest User Representation: Point-based Representation

- Single-point User Representation (SUR)
  - Fails to cover multi-interest (unless using a very high-dimension vector)
- Multi-point User Representation (MUR)
  - How to choose # of points ( $K$ )?
  - Does not model uncertainty

### Main research question:

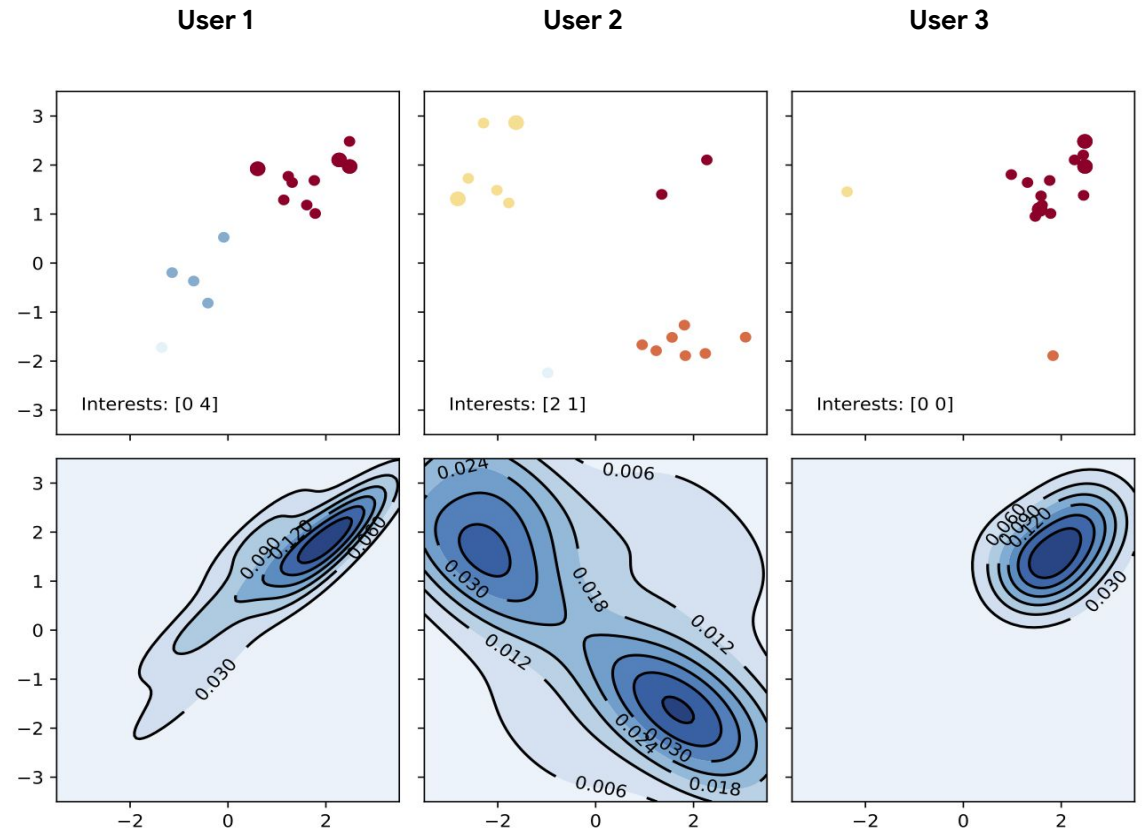
### Find a better way for users' multi-interest modeling

- Adaptive to different number of interests
- Be able to model uncertainty
- Not require very high-dimension

} Address limitations of MUR

} Address limitations of SUR

# Motivation: Density-based User Representation



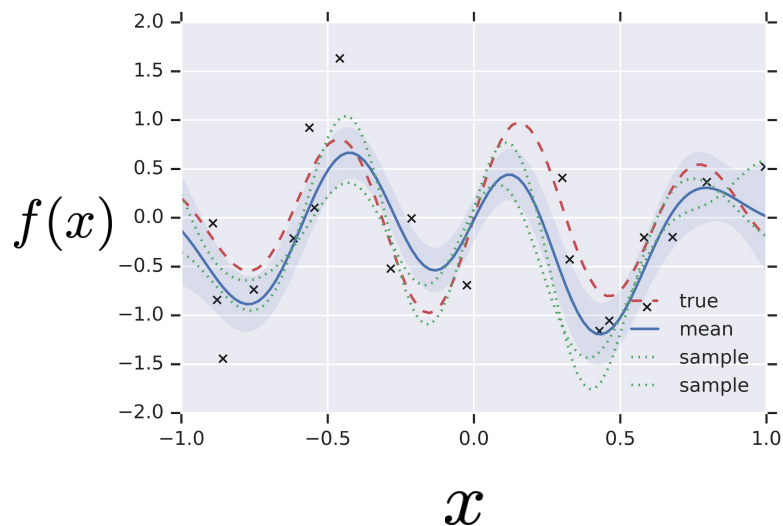


# Gaussian Process Regression (GPR)

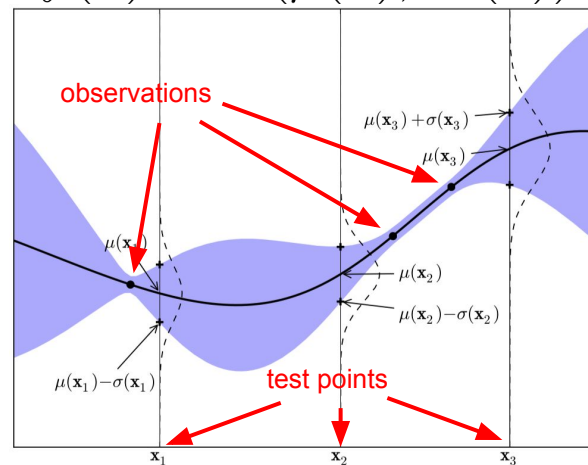
- A distribution over functions

$$f \sim \mathcal{GP}(m(\cdot), k(\cdot, \cdot))$$

- Posterior update with observations
- Can draw samples (functions)

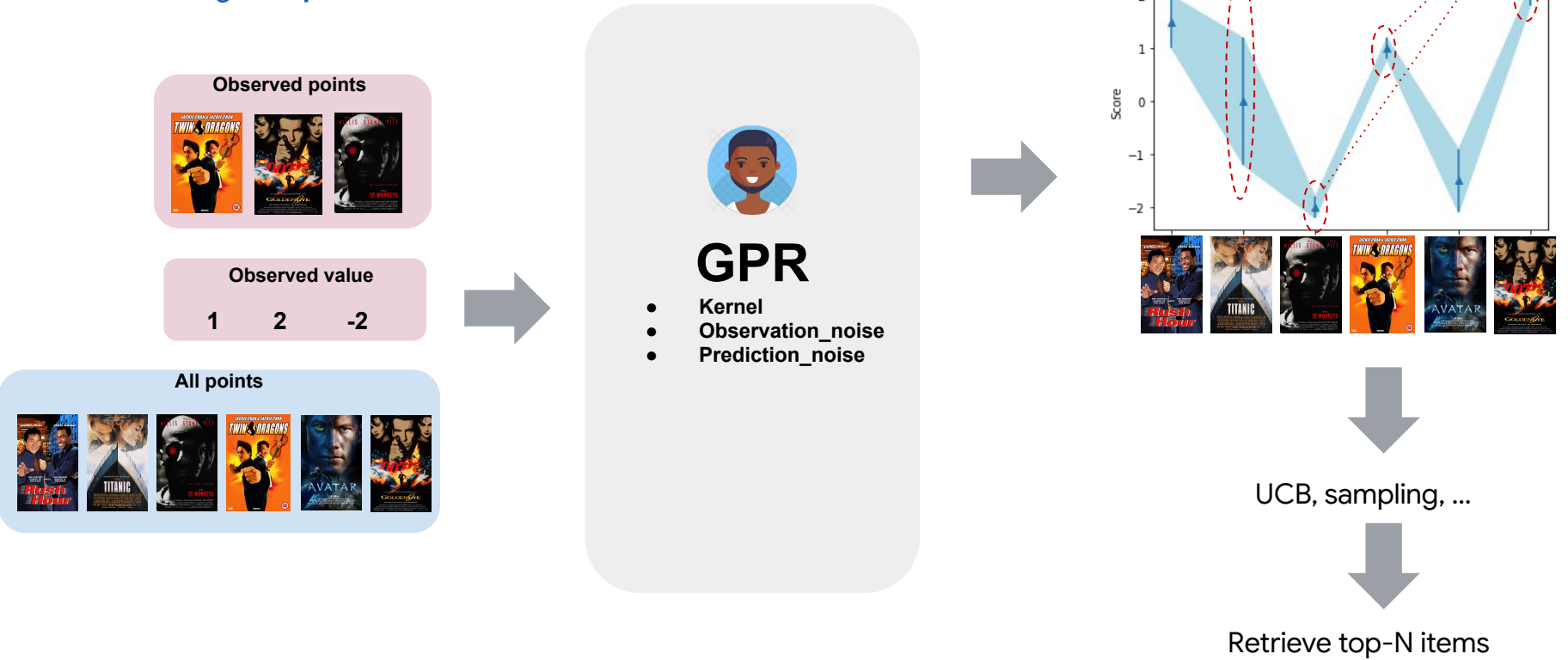


$$f(x) \sim N(\mu(x), \sigma^2(x))$$

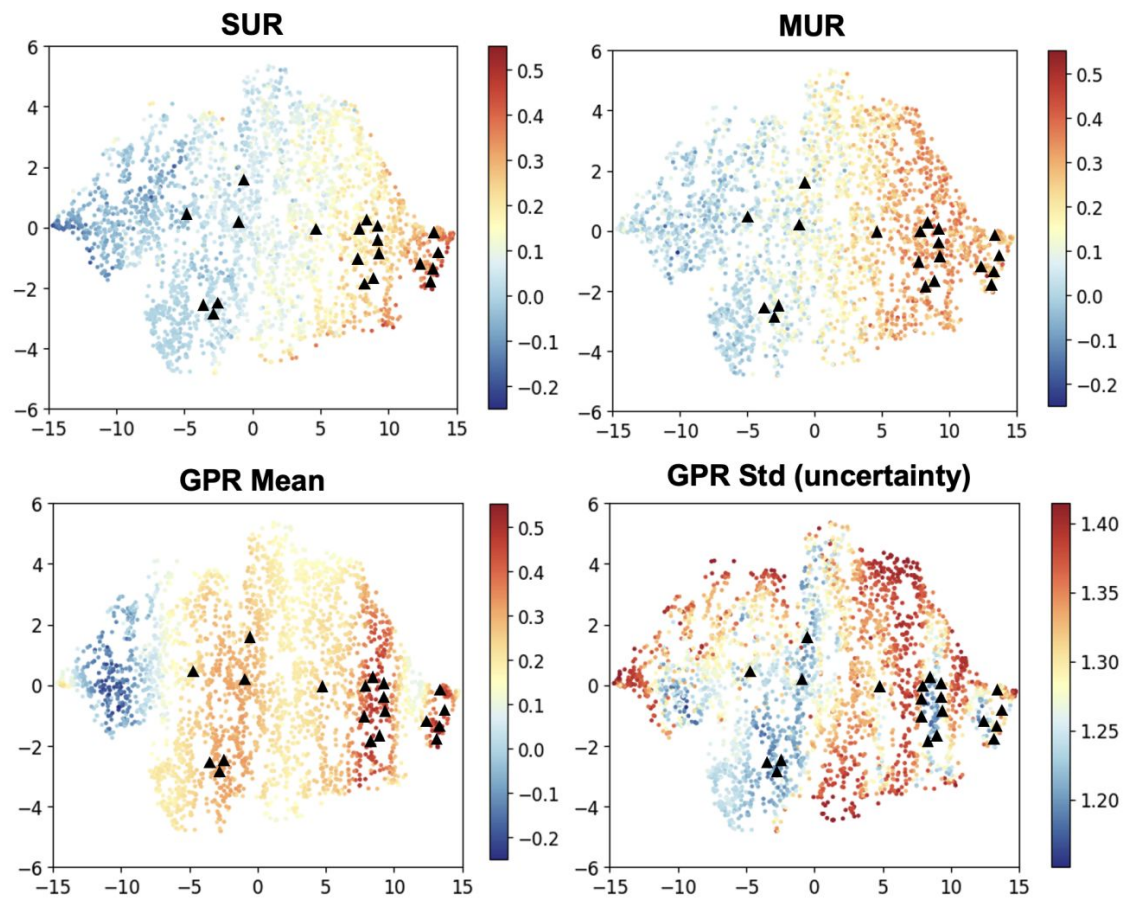


# Toy Example: Maintain a GPR per user

Item embeddings are *pre-trained and fixed*.



# Example: t-sne on prediction score



# Experiments

- Analysis on real-world datasets

	# User	# Item	# Interac.	Density
Amazon	6,223	32,830	4M	0.18%
MovieLens	123,002	12,532	20M	1.27%
Taobao	756,892	570,350	70M	0.01%

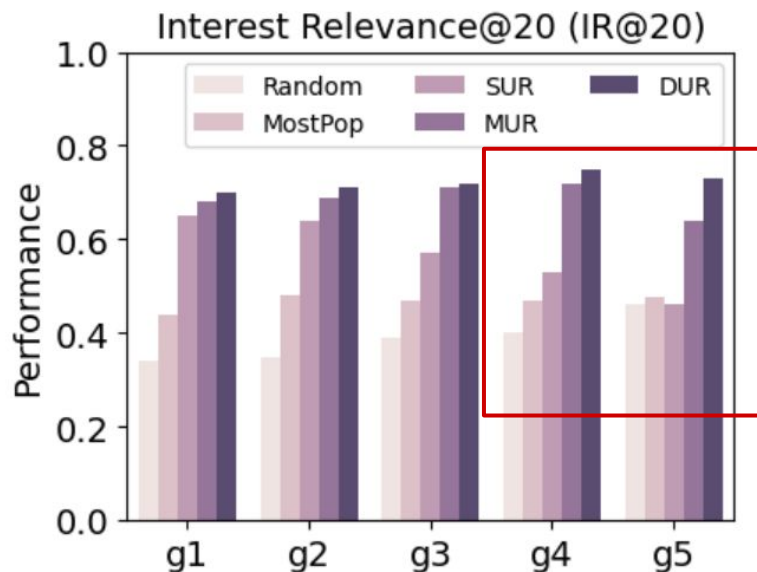
- Baselines:

- **Heuristics:** Random, MostPop
- **SUR:** YoutubeDNN, GRU4Rec, BERT4Rec, gSASRec
- **MUR:** MIND, ComiRec, CAMI, PIMI, REMI
- **DUR:** GPR4DUR (ours)

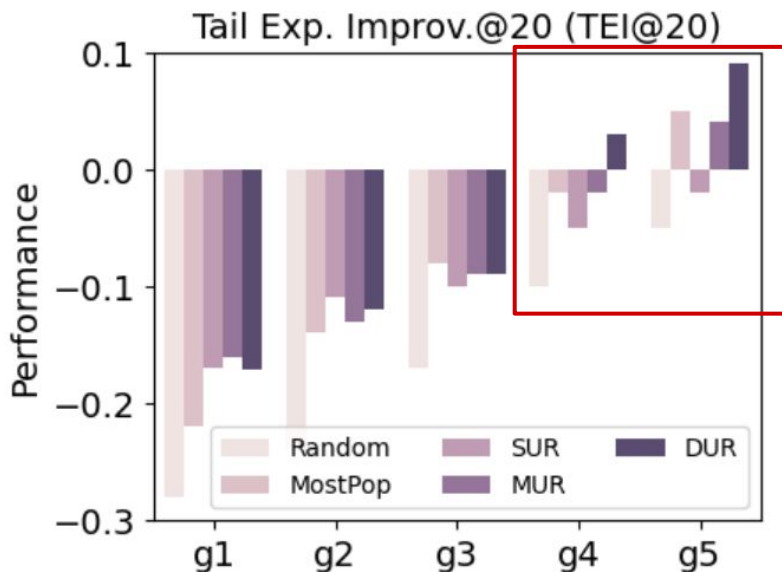
- Evaluation Metrics:

- User side: (1) Interest-wise Coverage, (2) Interest-wise Relevance
- Item side: (1) Exposure Deviation, (2) Tail Exposure Improvement

## Performance across User Groups

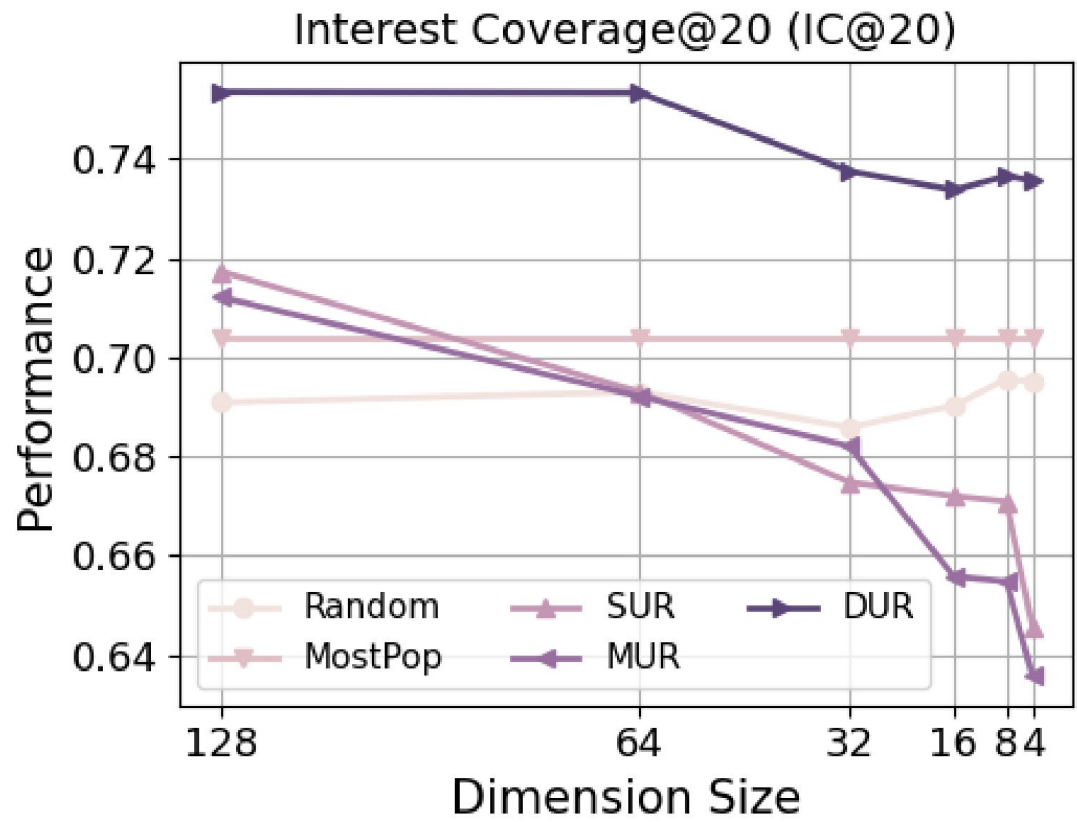


(a) Users grouped by number of interactions



- *Improvement across all user groups*
- *Large improvement on multi-interest users*
- *Improved exposure to tail items for multi-interest users*

# Robustness to Dimension Size



## Summary

1. Understand **limitations** of *point-based user representation (SUR & MUR)*
2. A novel *density-based user representation (DUR)* using GPR
  - a. improve on both retrieval and ranking
  - b. largely **improve the interest coverage** and maintain **high relevance**
  - c. reduce **exposure deviation** (*overall* + *niche interests*)
  - d. **robust** to dimension size

Thanks for your attention!



Join us at **Poster session 1** at Wed  
11 Dec, 11 a.m. PST — 2 p.m. PST



Paper



Contact