





# Successor Feature Landmarks for Long-Horizon Goal-Conditioned Reinforcement Learning

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### **Motivating scenario**

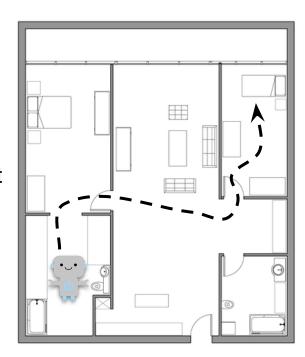
Suppose we place a household robot in a <u>new</u> home

We would like the robot to be able to do the following

- 1. Explore the home and understand its layout
- 2. Reach <u>any</u> location (to perform a task) from <u>any</u> starting point

#### Scenario specifications

- Task can be <u>long-horizon</u>, i.e. it can take the robot many steps to move from one room to another
- 2. Robot only has access to *first-person* images



### **Long-horizon GCRL**

Goal-conditioned reinforcement learning (GCRL)

- 1. Markov Decision Process extended with set of goals (subset of state space)
- Goal-conditioned reward function

Problem: find optimal goal-conditioned policy that maximizes expected cumulative *goal-conditioned* reward

Long-horizon: goals can be distant from agent's starting state, requiring policy to operate over longer temporal sequences

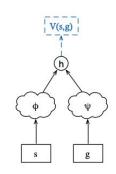
### **Recent work: GCRL**

Universal value function approximator (UVFA; Schaul et al., 2015): value function approximator that generalizes over goal space

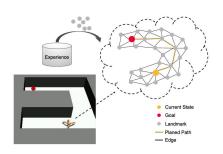
UVFAs have difficulty scaling to long-horizon tasks

Solution: augment UVFAs with planning mechanisms

- 1. MSS (Huang et al., 2019) and SoRB (Eysenbach et al., 2019): use UVFA as distance metric to build graph of landmarks to conduct planning over
- 2. LEAP (Nasiriany et al., 2019) use UVFA to decompose long-horizon tasks as series of reachable subgoals



UVFA architecture with state *s* and goal *g* (Schaul et al., 2015)

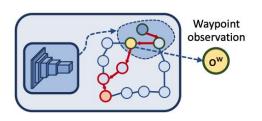


Planning on landmark graph in MSS framework (Huang et al., 2019)

### Recent work: graph-based planning

Recent works in navigation have also proposed graph-based planning approaches

- 1. Conduct planning on high-level graph representations
- Use low-level controller to move between nodes.



Selecting next landmark node to navigate towards in SPTM (Savinov et al., 2018)

#### **Papers**

- SPTM (Savinov et al., 2018): form graph by using reachability network as distance metric
  - a. Relies on human demonstrations
- 2. SGM (Laskin et al., 2020): form sparse graph by merging similar observations
  - a. Assumes exploration mechanism

### **Background: successor features (SF)**

Successor representation (SR) of (s, a, s'): expected discounted future occupancy of state s' starting in state s and action a, acting under policy  $\pi$  (Dayan, 1993)

$$M_{\pi}(s, a, s') = \mathbb{E}^{\pi} \left[ \sum_{t'=t}^{\infty} \gamma^{t'-t} \mathbb{I}(S_{t'} = s') \middle| \begin{matrix} S_t = s, \\ A_t = a \end{matrix} \right]$$

SF extend SR to function approximation case where s' is replaced by feature vector  $\phi$  (Barreto et al., 2017)

SF captures the dynamics of an environment

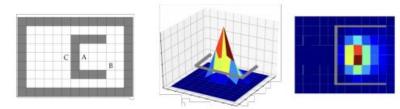
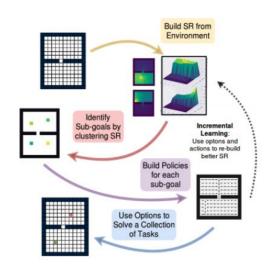


Figure 1: Successor representation, with respect to the uniform random policy, of state A (left). This example is similar to Dayan's (1993). The red color represents larger values while the blue color represents smaller values (states that are temporally further away).

### **Recent work: SF**

Successor Options (Ramesh et al., 2019)

- 1. Use clustering in SR space to identify subgoals
- 2. Learn options to subgoals via SR-based option reward function
- 3. Only qualitative results in high-dimensional state spaces



Successor Options framework (Ramesh et al., 2019)

## Idea: leverage SF for graph-based planning

SF: state representation that captures temporally-extended environment dynamics

- 1. Reflects long-horizon setting
- 2. Suitable for formulating distance metric
- 3. Learned via self-supervised training signal

SF enables transfer between goals (Barreto et al., 2016)

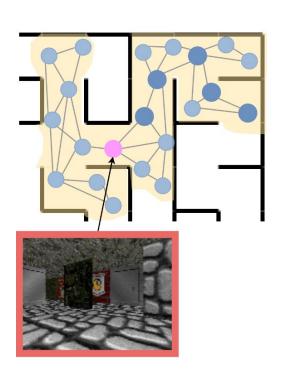
1. Decouples environment dynamics (SF) from task in Q-value formulation

$$r(s, a, s') = \boldsymbol{\phi}(s, a, s')^{\top} \mathbf{w}$$

$$Q^{\pi}(s, a) = \mathbf{E}^{\pi} \left[ r_{t+1} + \gamma r_{t+2} + \dots \mid S_t = s, A_t = a \right]$$

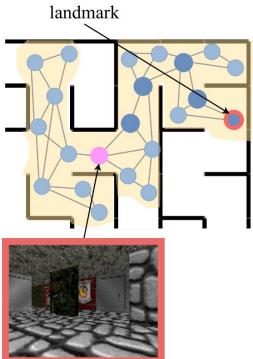
$$= \mathbf{E}^{\pi} \left[ \boldsymbol{\phi}_{t+1}^{\top} \mathbf{w} + \gamma \boldsymbol{\phi}_{t+2}^{\top} \mathbf{w} + \dots \mid S_t = s, A_t = a \right]$$

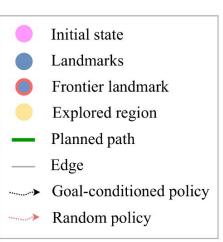
$$= \mathbf{E}^{\pi} \left[ \sum_{i=t}^{\infty} \gamma^{i-t} \boldsymbol{\phi}_{i+1} \mid S_t = s, A_t = a \right]^{\top} \mathbf{w} = \boldsymbol{\psi}^{\pi}(s, a)^{\top} \mathbf{w}$$

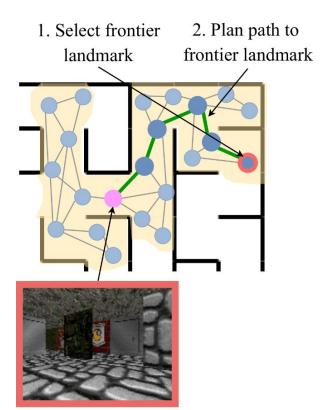


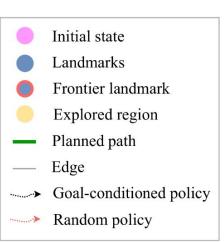
Initial state
Landmarks
Frontier landmark
Explored region
Planned path
Edge
Goal-conditioned policy
Random policy

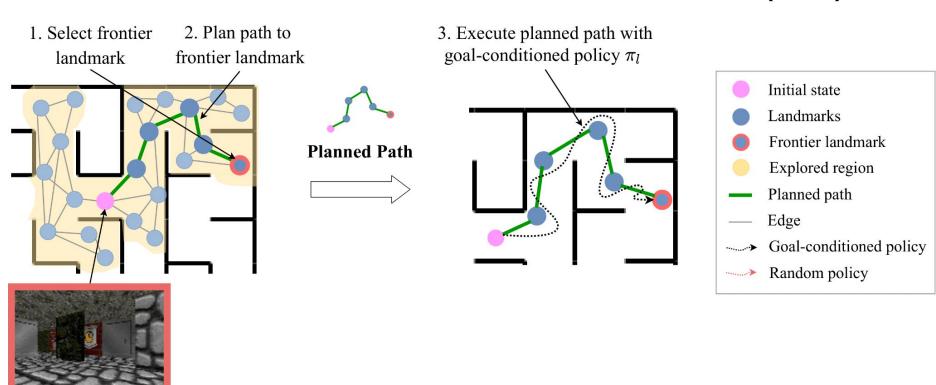
1. Select frontier

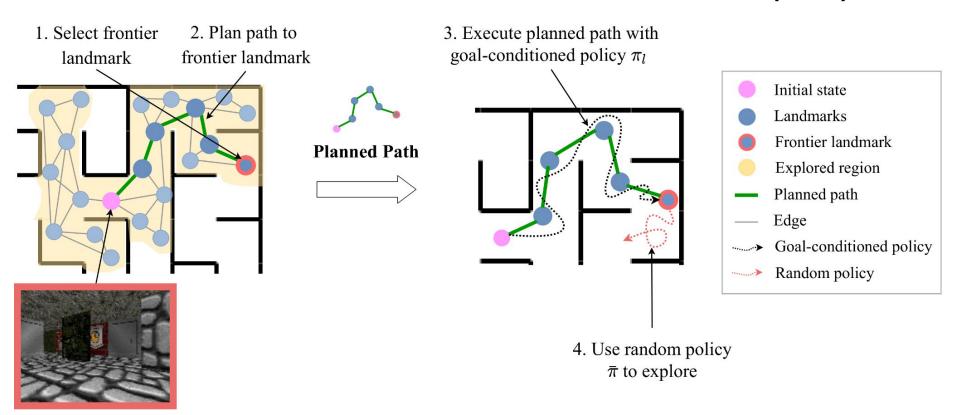


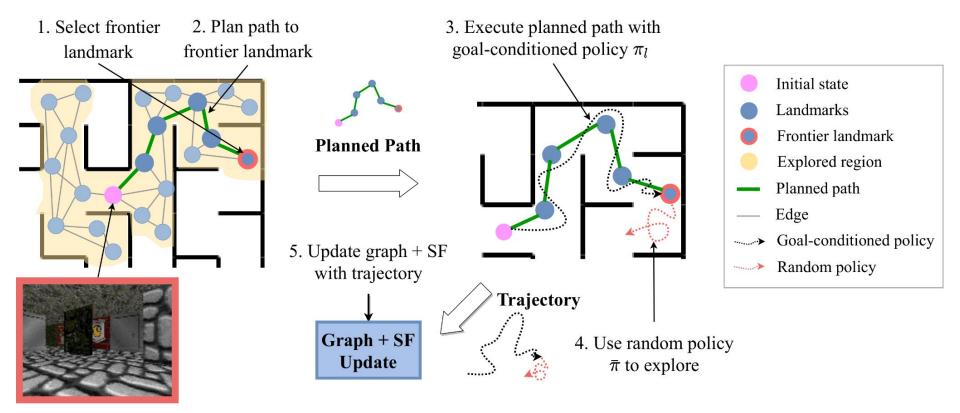


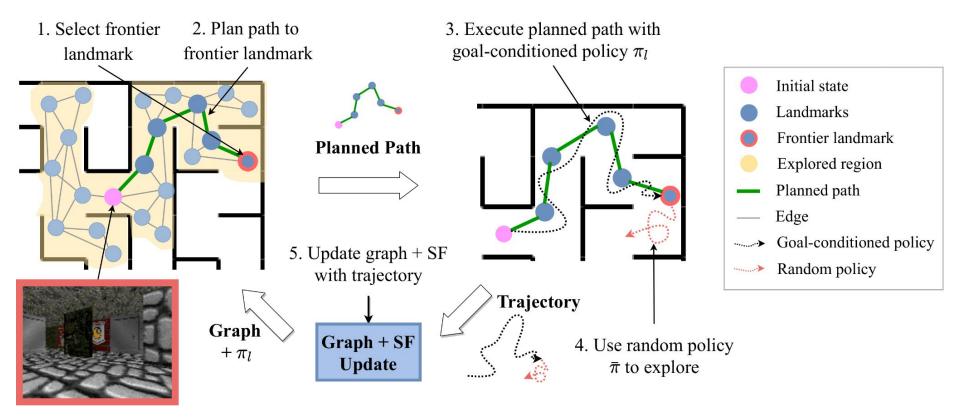












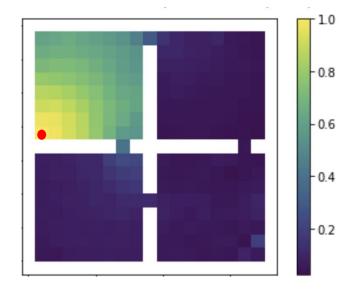
### **Successor Feature Similarity (SFS)**

Defined as cosine similarity in SF space (since  $||\psi|| = 1$ )

$$SFS^{\pi}((s_1, a_1), (s_2, a_2)) = \psi^{\pi}(s_1, a_1)^{\top} \psi^{\pi}(s_1, a_2)$$

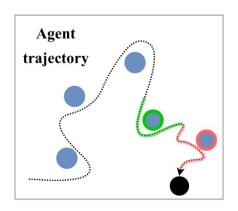
#### Uses

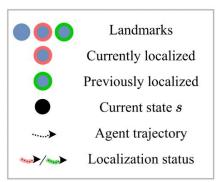
- Localize agent to nearest landmark
- 2. Determine which states to add as landmarks
- 3. Obtain local goal-conditioned policy *instantly*



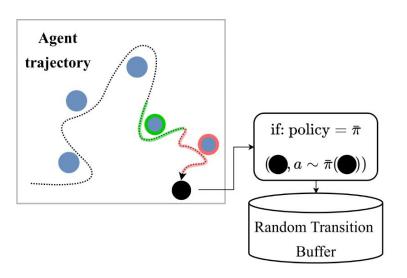
SFS relative to red dot in MiniGrid

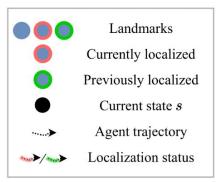
# **Learning SF**



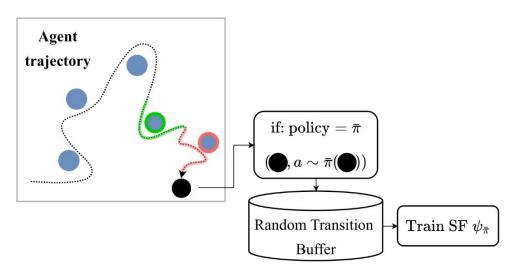


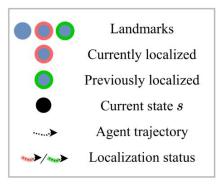
# **Learning SF**



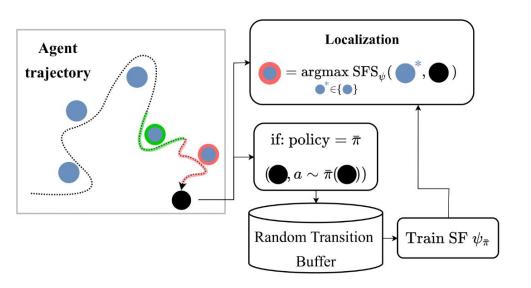


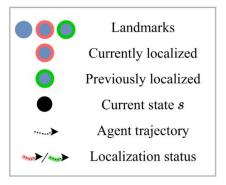
# **Learning SF**



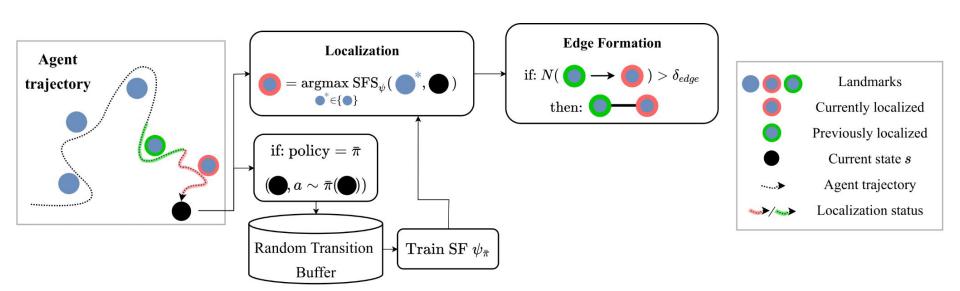


## **Building landmark graph**

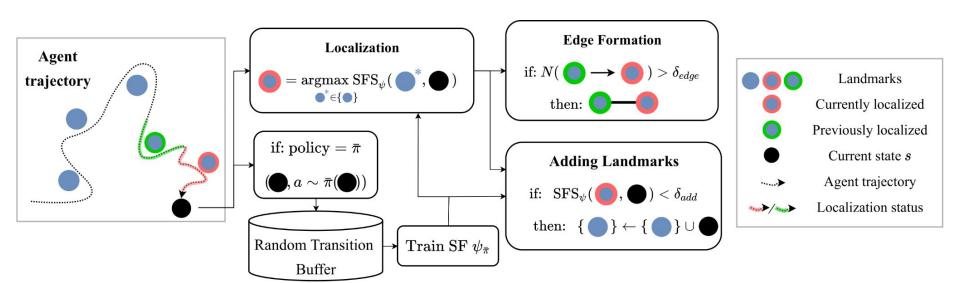




## **Building landmark graph**



## **Building landmark graph**



### Local goal-conditioned policy

Goal-conditioned reward function based on features  $\phi$  of current state and SF  $\psi$  of goal

$$r(s, a, g) = \phi(s, a)^{\top} \psi^{\bar{\pi}}(g)$$

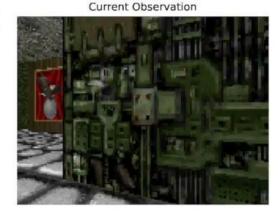
Derived Q-value formulation is equivalent to SFS

$$Q^{\bar{\pi}}(s, a) = \psi^{\bar{\pi}}(s, a)^{\top} \psi^{\bar{\pi}}(g)$$
$$= SFS^{\bar{\pi}}(s, a, g).$$

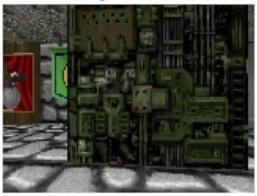
Policy given by taking action leading to highest SFS

$$\pi = \operatorname*{argmax}_{a} \operatorname{SFS}^{\bar{\pi}}(s, a, g)$$

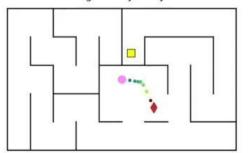
# **Planning**



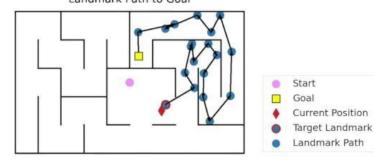
Target Landmark



Agent Trajectory



Landmark Path to Goal



Agent begins at start and tries to reach goal in 400 steps

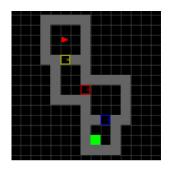
## **Experimental settings**

#### Problem settings

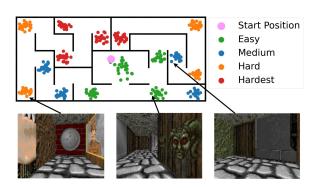
- Random spawn
  - a. In training, agent randomly spawned across map
  - b. In evaluation, agent tested on random start-goal pairs
- 2. Fixed spawn
  - a. In training, agent spawned at fixed starting point
  - In evaluation, agent tested on random goals with same starting point as in training

#### **Domains**

- 1. **MiniGrid**: 2D gridworld with top-down view observations
- **2. ViZDoom**: visual navigation environment with 3D first-person observations and large-scale mazes



Four-room MultiRoom *MiniGrid* with agent (red arrow) and goal (green square)



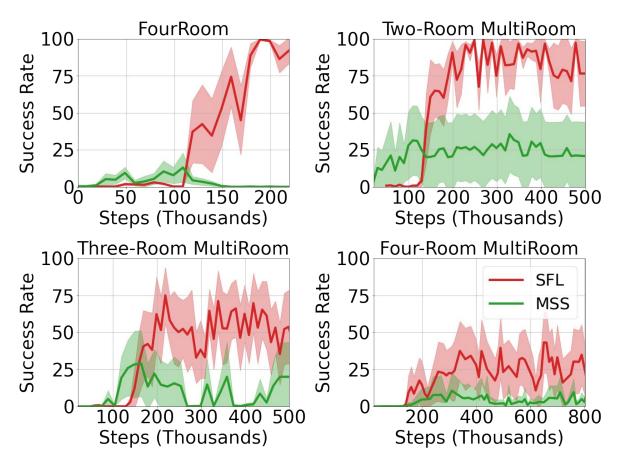
Top-down view of **ViZDoom** maze in *fixed spawn* with sampled goals

### **Baseline methods**

Baseline	Local policy	Planning mechanism	Exploration mechanism	Assumptions		
Visual controller	Inverse dynamics prediction	N/A	N/A	Requires random spawning		
SPTM	۸	Build graph with reachability network	N/A	Requires human demonstrations		
SGM	۸	^ + encourage sparsity in graph with two-way consistency objective	N/A	Requires random spawning		
Episodic curiosity (EC)* + {SPTM, SGM}	۸	۸	Curiosity bonus based on reachability network			
MSS	UVFA	Build graph with UVFA distance metric	Select landmarks to be far apart from each other			

<sup>\*-</sup> Savinov et al., 2018

### Results on *MiniGrid*



### Results on ViZDoom

Method	SGM-Map			Test-2			Test-6		
	Easy	Medium	Hard	Easy	Medium	Hard	Easy	Medium	Hard
Random Actions	58%	22%	12%	70%	39%	16%	80%	31%	18%
Visual Controller	75%	35%	19%	83%	51%	30%	89%	39%	20%
SPTM [27]	70%	34%	14%	78%	48%	18%	88%	40%	18%
SGM [15]	92%	64%	26%	86%	54%	32%	83%	43%	27%
SFL [Ours]	92%	82%	67%	82%	66%	48%	92%	66%	60%

Table 1: (Random spawn) The success rates of compared methods on three ViZDoom maps.

Method	Test-1				Test-4			
	Easy	Medium	Hard	Hardest	Easy	Medium	Hard	Hardest
MSS [10]	23%	9%	1%	1%	21%	7%	7%	7%
EC [28] + SPTM [27]	48%	16%	2%	0%	20%	10%	4%	0%
EC [28] + SGM [15]	43%	3%	0%	0%	28%	7%	4%	1%
SFL [Ours]	85%	59%	62%	50%	66%	44%	27%	23%

Table 2: (Fixed spawn) The success rates of compared methods on three ViZDoom maps.



### Conclusion





Introduced Successor Feature Landmarks, a graph-based planning framework built *entirely* upon successor features

Experiments on MiniGrid and ViZDoom demonstrated that this method outperforms current graph-based approaches on long-horizon goal-reaching tasks

We hope that future work will explore more properties and uses of successor features